

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: : Confirmation No.: 2374  
: :  
Mehryar Mohri : Attorney Ref.: 2001-0226  
: :  
Serial No.: 09/910,093 : Art Unit: 2626  
: :  
Filed: July 20, 2001 : Examiner: AZAD, ABUL K  
: :  
FOR: A SYSTEM AND METHOD OF EPSILON REMOVAL OF WEIGHTED  
AUTOMATA AND TRANSDUCERS

**PETITION UNDER 37 C.F.R. §1.181 TO WITHDRAW A  
HOLDING OF ABANDONMENT AND, ALTERNATIVELY, PETITION  
UNDER 37 C.F.R. §1.137(b) TO REVIVE ABANDONED APPLICATION**

**MAIL STOP PETITIONS**

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Sir:

The undersigned, on behalf of Assignee, petitions the Commissioner to withdraw a holding of abandonment of the above-identified application. Assignee's previous petition, filed Feb. 2, 2012, was dismissed for failure to submit the docketing record as necessitated by 711.03(c) of the *Manual of Patent Examining Procedure*. Assignee has included with this renewed petition a copy of the docketing record at the correspondence address of record from October – December of 2005 and October-December 2006 (Attachment F). This docketing record illustrates that the Notice of Abandonment (Attachment A) mailed by the USPTO on October 3, 2006 was not received at the correspondence address of record. The docketing record further illustrates that the Notice of Allowance and Fee(s) Due mailed October 4, 2005 (hereinafter "Notice of Allowance") was also not received at the correspondence address of record. Assignee shall offer first a position that the abandonment was unavoidable because the Patent Office had the wrong customer number in its records. Alternatively, Assignee petitions to revive the application as unintentionally abandoned.

Assignee first addresses the argument that the abandonment was unavoidable. The Notification of Abandonment was mailed on by the USPTO on October 3, 2006. (Attachment A). The application was indicated as abandoned for failure to respond to the Notice of Allowance and Fee(s) Due mailed October 4, 2005 (hereinafter "Notice of Allowance") (Attachment B).

Please note that both the Notice of Allowance and the Notice of Abandonment were delivered to a party other than the Assignee or Assignee's legal representative. As shown in these documents, both the Notice of Allowance and the Notice of Abandonment were mailed to the following address:

26552                      7590                      10/03/2006  
**THOMAS JEFFERY MASON**  
**P.O. BOX 8432**  
**HERMITAGE, TN 37076**

This address is associated with customer number 26552. In view of the above, no response was timely filed and the application became abandoned.

Assignee notes that Examiner Abul Azad contacted Assignee's legal representative, Richard Irving on March 31, 2006, as noted in the Examiner Interview Summary mailed April 4, 2006 (hereinafter "Interview Summary") (Attachment C). However, it does not appear that Examiner Azad contacted Mr. Irving to discuss whether or not Assignee intended to abandon the case. Rather, Examiner Azad contacted Mr. Irving solely to discuss an amendment to the above-mentioned application. It does not appear that Mr. Irving was aware of the previously issued Notice of Allowance or that the Application was in danger of abandonment. A sworn statement (Attachment D) by Mr. Irving is provided attesting to the fact that Examiner Azad did not indicate that a Notice of Allowance had been issued, that Assignee had failed to provide the required issue fee by the due date of January 4, 2006, or that he became aware of any fact indicating the application was in danger of becoming abandoned that he needed to communicate to Assignee. It is worth noting that Examiner Azad contacted Mr. Irving almost two full months after a response to the Notice of Allowance was due. Accordingly, Assignee submits that Mr. Irving had no reason to believe that the case was abandoned in view of this call from Examiner Azad.

Even if these facts had been discussed during the interview and documented by the Examiner in the Interview Summary and Mr. Irving failed to communicate these facts to the Assignee, the Interview Summary was also forwarded to the incorrect address shown above. Accordingly, the Assignee would not have become aware of this issue via the Interview Summary.

Further, after Assignee's legal representative filed an Information Disclosure Statement and Change of Correspondence Address documents on February 20, 2007, the Office did not provide any notice that either of these documents were untimely or that the above-mentioned application was abandoned at the time. Rather, the Office accepted the documents and the associated fee without protest.

Assignee states that the failure to respond to the Notice of Allowance was **UNAVOIDABLE** because the Notice of Allowance, was not received by Assignee or Assignee's legal representative. Assignee and Assignee's legal representative have checked their docketing system and have confirmed that the Office Action was not received in our office. Assignee and Assignee's legal representative became aware of the abandonment of the present application via a status check of the application that occurred on September 27, 2011. (Attachment C).

Further, Assignee states that the failure to respond was **UNAVOIDABLE** because of an error at the U.S. Patent and Trademark Office (hereinafter "the Office"). As shown above, the correspondence address used by the Office for mailing the Notice of Allowance, the Interview Summary, and the Notice of Abandonment is the correspondence address associated with customer number 26552 instead of the correspondence address associated with customer number 26652 that was designated by Assignee's legal representative in Power of Attorney documents on August 19, 2005. Assignee respectfully submits that during processing of these Power of Attorney documents, the Office must have inadvertently substituted a "5" for a "6" in the customer number listed in the Power of Attorney documents, transforming 26652 into 26552. In further support of this substitution being an error by the Office, Assignee notes that nowhere in the Power of Attorney documents filed on August 19, 2005, or in any other documents submitted by Assignee for the above-mentioned case, did Assignee or their legal representatives ever indicate associating the above-mentioned application associated with customer number 26552.

In view of the foregoing, Assignee respectfully requests that the holding of abandonment be withdrawn and that the above-mentioned application be allowed to pass to issue upon acceptance of the issue fee.

Alternatively, and only contingent upon denial of the above stated Petition for Withdrawal of Holding of Abandonment, Applicants hereby petition for revival of the above referenced application under 37 C.F.R. 1.137(b) the failure to respond was **UNINTENTIONAL**.

Abandonment of the subject application was **UNINTENTIONAL** and caused by the reasons set forth above. Applicant respectfully request consideration of this Petition to Revive as a complete Petition under the language of section (b) of 37 C.F.R. 1.132, since, as noted above, Applicant believed that all requirements with 35 U.S.C. 371 for the application are fully satisfied. Since this application was filed on or after June 8, 1995, no terminal disclaimer is required.

Assignee herewith pays the petition fee to revive an unavoidably abandoned application and the issue fee for the above-mentioned application. If necessary and if the Patent Office considers the petition as unintentional, the Commissioner for Patents is authorized to charge or credit the Novak, Druce & Quigg, LLP, Account No. 14-1437 for any deficiency or overpayment.

Respectfully submitted,

Date: July 27 2012

By: 

Correspondence Address:  
Customer No. 83224

Thomas M. Isaacson  
Attorney for Assignee  
Reg. No. 44,166  
Phone: 410-286-9405  
Fax No.: 410-510-1433

# EXHIBIT A



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1459  
Alexandria, Virginia 22313-1459  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/910,093	07/20/2001	Mehryar Mohri	2001-0226	2374
26592	7596	10/03/2006	EXAMINER	
AZAD, ABUL K				
THOMAS JEFFERY MASON P.O. BOX 8432 HERMITAGE, TN 37076			ART UNIT	
			PAPER NUMBER	

3676

DATE MAILED: 10/03/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

# Notice of Abandonment

Application No.

09/910,093

Examiner

AZAD, ABUL K

Applicant(s)

Mehryar Mohri

Art Unit

2626

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

This application is abandoned in view of:

1. ☐ Applicant's failure to timely file a proper reply to the Office letter mailed on \_\_\_\_\_.  
(a) ☐ A reply was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply (including a total extension of time of \_\_\_\_\_ month(s)) which expired on \_\_\_\_\_.  
(b) ☐ A proposed reply was received on \_\_\_\_\_, but it does not constitute a proper reply under 37 CFR 1.113 (a) to the final rejection.  
(A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114.)  
(c) ☐ A reply was received on \_\_\_\_\_ but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below).  
(d) ☐ No reply has been received.
2. ☒ Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).  
(a) ☐ The issue fee and publication fee, if applicable, was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85).  
(b) ☐ The submitted fee of \$\_\_\_\_\_ is insufficient. A balance of \$\_\_\_\_\_ is due.  
The issue fee required by 37 CFR 1.18 is \$\_\_\_\_\_. The publication fee, if required by 37 CFR 1.18(d), is \$\_\_\_\_\_.  
(c) ☒ The issue fee and publication fee, if applicable, has not been received.
3. ☐ Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37).  
(a) ☐ Proposed corrected drawings were received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply.  
(b) ☐ No corrected drawings have been received.
4. ☐ The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.
5. ☐ The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application.
6. ☐ The decision by the Board of Patent Appeals and Interference rendered on \_\_\_\_\_ and because the period for seeking court review of the decision has expired and there are no allowed claims.
7. ☐ The reason(s) below:

AG

Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.

## EXHIBIT B





## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1400  
Alexandria, Virginia 22313-1400  
www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

26552

7590

10/04/2005

THOMAS JEFFERY MASON  
P.O. BOX 8432  
HERMITAGE, TN 37076

EXAMINER

AZAD, ABUL K

ART UNIT

PAPER NUMBER

2654

DATE MAILED: 10/04/2005

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/910,093	07/20/2001	Mehryar Mohri	2001-0226	2374

TITLE OF INVENTION: SYSTEM AND METHOD OF EPSILON REMOVAL OF WEIGHTED AUTOMATA AND TRANSDUCERS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	01/04/2006

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

## HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER:** Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
(571) 273-2885

or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Enclose Use Block 1 for any change of address)

26552 7590 10/04/2005

THOMAS JEFFERY MASON  
P.O. BOX 8432  
HERMITAGE, TN 37076

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmittal.

## Certificate of Mailing or Transmittal

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09910.093

07/20/2001

Mehyar Mohri

2001-0225

2374

TITLE OF INVENTION: SYSTEM AND METHOD OF EPSILON REMOVAL OF WEIGHTED AUTOMATA AND TRANSDUCERS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional

NO

\$1400

\$0

\$1400

01/04/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
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AZAD, ABUL K

2654

704-257900

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/223) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/447, Rev. 03-02, or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE (CITY AND STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☐ Issue Fee

☐ Publication Fee (No small entity discount permitted)

☐ Advance Order - # of Copies

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party is interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date

Typed or printed name

Registration No.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/510,093	07/20/2001	Mehryar Mohri	2001-0226	2374
26552	7590	10/04/2005	EXAMINER	
AZAD, ABUL K				
ART UNIT			PAGE NUMBER	
2654				

DATE MAILED: 10/04/2005

**Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**  
(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 976 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 976 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

# Notice of Allowability

Application No.

08/910,093

Examiner

ABUL K. AZAD

Applicant(s)

MOHRI, MEHRYAR

Art Unit

2654

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to the communication filed on August 19, 2005
2. ☒ The allowed claim(s) is/are 1-38.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some\* c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
- (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
- 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
- (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

## Attachment(s)

1. ☒ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/08), Paper No./Mail Date \_\_\_\_\_
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application (PTO-152)
6. ☐ Interview Summary (PTO-413), Paper No./Mail Date \_\_\_\_\_
7. ☐ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_

## DETAILED ACTION

### *Response to Amendment*

1. This action is in response to the communication filed on August 19, 2005.
2. Claims 1-38 are pending in this action. Claims 1-8, 10-21, 23-28, 30 and 32-37 have been amended.
3. Applicant's arguments and amendment with respect to claims 1-38 have been fully considered and are persuasive. The rejection under 35 U.S.C §101 and 35 U.S.C. §112 set forth in the previous Action of claims 1-38, has been withdrawn.

### *Allowable Subject Matter*

4. Claims 1-38 are allowed over the prior art of record.
5. The following is an examiner's statement of reasons for allowance:

The applicant teaches a method of removing empty string terms from an automation A having a plurality of states "p", a plurality of states "q", and a plurality of outgoing transitions from the plurality of states "p", E[p]. the prior art of record fails to teach or fairly suggest the claimed combinations of features. Particularly the prior art of record fails to teach or fairly suggest including other limitations, computing an epsilon-closer for each of the plurality of states "p" of the automation A, removing each of the plurality of transitions labeled with an empty string and adding to the plurality of outgoing transitions, E[p], a non-empty-string transition, wherein each of the plurality of states "q" is left with its weights pre-multiplied by an epsilon-distance from a

corresponding one of plurality of states "p" to a respective one of the plurality of states "q" in the automation A.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

***Contact Information***

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to **Abul K. Azad** whose telephone number is **(571) 272-7599**. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, **Richemond Dorvil**, can be reached at **(571) 272-7602**.

Any response to this action should be mailed to:

**Commissioner for Patents**

**P.O. Box 1450**


**Alexandria, VA 22313-1450**

Or faxed to: **(571) 273-8300**.

Hand-delivered responses should be brought to **401 Dulany Street, Alexandria, VA-22314** (Customer Service Window).

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

September 19, 2005

  
Abul K. Azad  
Primary Examiner  
Art Unit 2654

# **Notice of References Cited**

Application/Control No.

09/910,093

Applicant(s)/Patent Under  
Reexamination  
MOHRI, MEHRYAR

Examiner

ABUL K. AZAD

Art Unit

2654

Page 1 of 1

## **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,278,973	08-2001	Chung et al.	704/257
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

## **FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

## **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
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	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
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Application/Control Number: 09/910,093  
Art Unit: 2654

Docket No.: 2001-0226

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Mehryar Mohri

Serial No.: 09/910,093

Filed: July 20, 2001

FOR: A SYSTEM AND METHOD OF  
EPSILON REMOVAL OF WEIGHTED  
AUTOMATA AND TRANSDUCERS

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Attorney Ref.: 2001-0226

Confirmation No.: 2374

Art Unit: 2654

Examiner: Abdul K. Azad

**AMENDMENT**

**MAIL STOP: Amendment**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Dear Sir:

Responsive to the non-Final Office Action dated May 23, 2005, kindly  
enter the following amendment and remarks.

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** begin on page <sup>5</sup>~~4~~ of this paper.

**Remarks** begin on page <sup>25</sup>~~20~~ of this paper.

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**Amendments to the Specification:**

Kindly amend the last complete paragraph on page 2 of the specification as follows:

It is well known that finite state techniques have proven invaluable in a variety of natural language processing applications. A tool useful in natural language processing is the weighted finite state automata and weighted finite state transducer. Such a transducer is described in U.S. patent applications 6,032,111 to Mehryar Mohri, assigned to AT&T Corp., and which contents are incorporated herein by reference. Further background ~~maybe~~ may be gained from "The Design Principles of a Weighted Finite-State Transducer Library" by Mehryar Mohri, Fernando Pereira and Michael Riley, available on the Internet at: <http://citeseer.nj.nec.com/mohri00design.html>; and "Weighted Automata in Text and Speech Processing", by Mehryar Mohri, Fernando Pereira, and Michael Riley, found on the Internet at: <http://citeseer.nj.nec.com/mohri96weighted.html>. The contents of these two publications are incorporated herein by reference for background information.

Kindly amend the second complete paragraph on page 4 of the specification as follows:

Furthermore, each state  $i$  has an initial weight and a final weight. In a weighted transducer, each transition  $t$  has also an output label  $o(t) \in \Delta^*$  where  $\Delta$  is the transducer's output alphabet. A state  $q$  is initial if  $\lambda(q) \neq \emptyset$ , and final if

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$p(q) \neq \emptyset$ . For more information on the mathematical operations of the equations ~~disclosed~~ disclosed herein, see Werner Kuich and Arto Salomaa, Semirings, Automata, Languages, number 5 in EATCS Monographs on Theoretical Computer Science, published by Springer-Verlag, Berlin, Germany, 1986. A person of ordinary skill in the art will understand the algebraic operation and symbols used in the formulas disclosed herein. Therefore, an explanation of each algebraic operator and of the various terms used in the formulas is not provided.

Kindly amend the third complete paragraph on page 12 of the specification as follows:

The first embodiment is illustrated with several semirings. Also described is an input  $\epsilon$ -normalization method for weighted transducers, which is based on the general shortest-distance algorithm. The  $\epsilon$ -normalization method, which works with all semirings covered by a specific framework, admits an on-the-fly implementation. An on-the-fly implementation allows one to use the algorithm with a lazy evaluation. In other words, instead of removing all the epsilons of the input machine all at once, one can remove only the epsilons that belong to the paths of the input ~~a machine~~ that one might be interested in. So, when some part of the input machine A is read, the output B (w/o epsilons) is constructed just for that part of A.

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### Amendments to the Claims:

This listing of claims will replace all prior versions, and listings, of claims in the application:

### Listing of Claims:

1. (Currently Amended) A method of removing empty string terms from an automaton A having a set plurality of states "p", a set plurality of states "q", and a set plurality of outgoing transitions from the set plurality of states "p", E[p], the method comprising:

inputting a plurality of electrical signals representing the automaton A,  
the automaton A further representing a plurality of hypotheses with associated  
weights;

computing an  $\epsilon$ -closure for each state of the plurality of states "p" of the automaton A;

producing a plurality of electrical signals representing an improved  
automaton A, the producing comprising modifying E[p] by:

removing each transition of the plurality of transitions labeled with an empty string; and

adding to the plurality of outgoing transitions, E[p], a non-empty-  
string transition, wherein each state of the plurality of states "q" is left  
with its weights pre-multiplied by an  $\epsilon$ -distance from a corresponding one  
of the plurality of states state "p" to a respective one of the plurality of  
states state "q" in the automaton A.

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2. (Currently Amended) The method of claim 1, wherein the producing of the plurality of electrical signals representing the improved automaton A further comprising comprises:

removing inaccessible ones of the plurality of the states "p" and "q" using a depth-first search of the automaton A.

3. (Currently Amended) The method of claim 1, wherein adding to the plurality of outgoing transitions, E[p], a non-empty-string transitions transition further comprises leaving each of the plurality of states "q" with weights  $(d[p,q] \otimes p\{q\})$  to  $E[p]$ .

4. (Currently Amended) The method of claim 1, wherein the step of the computing of the  $\epsilon$ -closure for each input-state of the plurality of states "p" of an the input automaton A further comprises:

removing all transitions not labeled with an empty string from the automaton A to produce an automaton  $A_\epsilon$ ;

decomposing the automaton  $A_\epsilon$  into its strongly connected components;  
and

computing all-pairs shortest distances in each component of the strongly connected components visited in reverse topological order.

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5. (Currently Amended) The method of claim 1, wherein the ~~step of~~  
~~computing of the  $\epsilon$ -closure for each input state of the plurality of states "p" of~~  
~~an the~~ input automaton A further comprises:

~~removing all transitions not labeled with an empty string from the~~  
~~automaton A to produce an automaton  $A_\epsilon$ ;~~

decomposing  $A_\epsilon$  into its strongly connected components;

performing a single-source shortest-distance algorithm according to the  
 following pseudo code:

```

for each  $p \in Q$ 
do  $d[p] \leftarrow r[p] \leftarrow \bar{0}$ 
 $d[s] \leftarrow r[s] \leftarrow \bar{1}$ 
 $S \leftarrow \{s\}$ 
while  $S \neq \emptyset$ 
do  $q \leftarrow \text{head}[S]$ 
DEQUEUE( $S$ )
 $r \leftarrow r[q]$ 
 $r[q] \leftarrow \bar{0}$ 
for each  $e \in E[q]$ 
do if  $d[n[e]] \neq d[n[e]] \oplus (r \otimes w[e])$ 
then  $d[n[e]] \leftarrow d[n[e]] \oplus (r \otimes w[e])$ 
 $r[n[e]] \leftarrow r[n[e]] \oplus (r \otimes w[e])$ 
if  $n[e] \notin S$ 

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then ENQUEUE ( $S, n[e]$ )

$d[s] \leftarrow \bar{1}_s$

6. (Currently Amended) The method of claim 1, wherein the step of the computing of the  $\varepsilon$ -closure for each of the plurality of states state "p" further comprises computing each of the  ~~$\varepsilon$ -closure~~  $\varepsilon$ -closures according to the following equation:

$$C[p] = \{(q, w) : q \in \varepsilon[p], d[p, q] = w \in K - \{\bar{O}\}\}.$$

7. (Currently Amended) The method of claim 6, ~~wherein the step of~~ modifying outgoing transitions of each state "p" further comprises modifying the outgoing transitions of each of the plurality of states state p according to the following procedure:

(1) for each  $p \in Q$

(2) do  $E[p] \leftarrow \{e \in E[p] : i[e] \neq \varepsilon\}$

(3) for each  $(q, w) \in C[p]$

(4) do  $E[p] \leftarrow E[p] \cup \{(p, a, w \otimes w', r) : (q, a, w', r) \in E[q], a \neq \varepsilon\}$

(5) if  $q \in F$

(6) then if  $p \notin F$

(7) then  $F \leftarrow F \cup \{p\}$

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$$(8) \quad \rho[p] \leftarrow \rho[p] \oplus (\omega \oplus \rho[q]).$$

8. (Currently Amended) The method of claim 7, wherein a state is a final state if ~~some~~ at least one of the plurality of states state "q" within a set of states reachable from one of the plurality of states "p" via a path labeled with an empty string is final and the final weight is then:  $\rho[p] = \bigoplus_{q \in cl(p), \epsilon} (\omega[p, q] \otimes \rho[q])$

9. (Original) The method of claim 8, further comprising:  
 performing a depth-first search of the automaton A after removing the empty strings.

10. (Currently Amended) A method of producing an equivalent weighted automaton "B" with no  $\epsilon$ -transitions for any input weighted automaton "A" having at least one  $\epsilon$ -transition, the automaton "A" having a ~~set~~ plurality of states "p", and a ~~set~~ plurality of states "q", the method comprising:  
inputting a plurality of electrical signals representing the input weighted automaton A, the weighted automaton A further representing a plurality of hypotheses with associated weights;

computing an  $\epsilon$ -closure for each state of the plurality of states "p" of the input weighted automaton "A"; and

producing a plurality of electrical signals representing the automaton "B" equivalent to automaton A without the  $\epsilon$ -transitions, the producing comprising:



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modifying outgoing transitions of each state of the plurality of states "p"  
 by:

removing each transition labeled with an empty string; and  
 adding to ~~each transition leaving state "p"~~ a plurality of outgoing  
 transitions from the plurality of states "p" a non-empty-string transition,  
 wherein each state of the plurality of states "q" is left with its weights  
 pre-multiplied by an  $\epsilon$ -distance from a corresponding one of the plurality  
 of states state "p" to a respective one of the plurality of states "q" in the  
 automaton "A" to produce the automaton "B" ~~equivalent to automaton A~~  
~~without the  $\epsilon$ -transitions,~~ the automaton "B" representing an improved  
 version of the plurality of hypotheses.

11. (Currently Amended) The method of claim 10, further comprising:  
 removing inaccessible states of the automaton "A" using a depth-first  
 search of the automaton "A".

12. (Currently Amended) The method of claim 11, wherein adding to the  
plurality of outgoing transitions from the plurality of states "p" a non-empty-  
 string transitions transition further comprises leaving each of the plurality of  
 states state "q" with weights  $(d[p, q] \otimes p[q])$  to the transitions leaving  
corresponding ones of the plurality of states "p".

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13. (Currently Amended) A The method of claim 10, wherein the step of computing of an the  $\epsilon$ -closure for each input-state of the plurality of states "p" of an the input automaton "A" further comprises:

removing all non- $\epsilon$ -transitions to produce an automaton  $A_\epsilon$ ;

decomposing the automaton  $A_\epsilon$  into its strongly connected components;

and

computing all-pairs shortest distances in each of the strongly connected components ~~component~~ visited in reverse topological order.

14. (Currently Amended) The method of claim 10, wherein the step of computing of the  $\epsilon$ -closure for each state of the plurality of states "p" further comprises computing each of the  $\epsilon$ -closures according to the following equation:

$$C[p] = \{(q, w) : q \in \epsilon[p], d[p, q] = w \in K - \{\emptyset\}\}.$$

15. (Currently Amended) The method of claim 14, wherein the step of modifying the outgoing transitions of each of the plurality of states ~~state~~ "p" further comprises modifying the outgoing transitions of each of the plurality of states ~~state~~ p according to the following procedure:

- (+) for each  $p \in Q$
- (2) do  $E[p] \leftarrow \{e \in E[p] : i[e] \neq \epsilon\}$
- (3) for each  $(q, w) \in C[p]$

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- (4)  $E[p] \leftarrow E[p] \cup \{(p, a, w \otimes w', r) : (q, a, w', r) \in E[q], a \neq \varepsilon\}$   
 (5) if  $q \in F$   
 (6) then if  $p \notin F$   
 (7) then  $F \leftarrow F \cup \{p\}$   
 (8)  $\rho[p] \leftarrow \rho[p] \oplus (w \oplus \rho[q])$ .

16. (Currently Amended) A method of producing an automaton B from an automaton A, the automaton B having no empty string transitions, the method comprising:

inputting a plurality of electrical signals representing the automaton A,  
the automaton A further representing a plurality of hypotheses with associated  
weights;

computing for each state p in the automaton A its  $\varepsilon$ -closure  $C[p]$   
 according to the following:  $C[p] = \{(q, w) : q \in \varepsilon[p], d[p, q] = w \in K - \{\emptyset\}\}$ , where  $\varepsilon[p]$  represents states labeled with an empty string;

removing each transition labeled with an empty string; and  
producing a plurality of electrical signals representing the automaton B,  
the automaton B being equivalent to the automaton A without  $\varepsilon$ -transitions, the  
producing comprising:

adding to each transition leaving the states state "p" a non-empty-string transition, wherein each state "q" in the automaton A is left with its weights pre-multiplied by an  $\varepsilon$ -distance from one of the states state "p" to

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a respective one of the states "q" in the automaton "A" to produce the automaton "B" equivalent to automaton A without the  $\epsilon$ -transitions.

17. (Currently Amended) The method of claim 16, wherein the adding the non-empty-string transition to each of the transitions leaving the states "p" strings to  $E[p]$  is performed according to the following code:

- (1) for each  $p \in Q$
- (2) do  $E[p] \leftarrow \{e \in E[p] : i[e] \neq \epsilon\}$
- (3) for each  $(q, w) \in C[p]$
- (4) do  $E[p] \leftarrow E[p] \cup \{(p, a, w \otimes w', r) : (q, a, w', r) \in E[q], a \neq \epsilon\}$
- (5) if  $q \in F$
- (6) then if  $p \notin F$
- (7) then  $F \leftarrow F \cup \{p\}$
- (8)  $\rho[p] \leftarrow \rho[p] \oplus (\omega \oplus \rho[q])$ , where  $E[p]$  is plurality of outgoing transitions from the states "p".

18. (Currently Amended) The method of claim 10, further comprising modifying a plurality of outgoing transitions from the states "p",  $E[p]$ , according to the following procedure:

- (1) for each  $p \in Q$
- (2) do  $E[p] \leftarrow \{e \in E[p] : i[e] \neq \epsilon\}$

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- (3) for each  $(q, w) \in C[p]$
- (4) do  $E[p] \leftarrow E[p] \cup \{(p, a, w \oplus w', r) : (q, a, w', r) \in E[q], a \neq \epsilon\}$
- (5) if  $q \in F$
- (6) then if  $p \notin F$
- (7) then  $F \leftarrow F \cup \{p\}$
- (8)  $\rho[p] \leftarrow \rho[p] \oplus (w \oplus \rho[q])$ .

19. (Currently Amended) A method of producing an equivalent weighted automaton "B" with no  $\epsilon$ -transitions for any input weighted automaton "A" having a set of transitions E, wherein each transition "e" in the set of transitions has an input label i[e], at least one transition being an  $\epsilon$ -transition, a set of states P, each state in the set of states P is denoted as "p", and a set of states Q, each state in the set of states Q denoted as "q", a weight w[e] for each transition "e", and E[p] the transitions leaving each state "p" and E[q] being the transitions leaving state "q", an  $\epsilon$ -closure for a state being defined as C[p], and where  $\epsilon[p]$  represents a set of states reachable from state "p" via a path labeled with an  $\epsilon$ -transition, the method comprising:

inputting a plurality of electrical signals representing the weighted automaton A, the weighted automaton A further representing a plurality of hypotheses with associated weights;

computing an  $\epsilon$ -closure C[p] for each state "p" of the input weighted automaton "A";

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removing each  $\epsilon$ -transition of the weighted automaton A to produce an automaton  $A_\epsilon$ ; and

producing a plurality of electrical signals representing the automaton B, the automaton B being equivalent to the automaton A without  $\epsilon$ -transitions, the producing further comprising:

adding to  $E[p]$  non-empty-string transitions leaving each state "q" from the set of states reachable from "p" via a path labeled with an  $\epsilon$ -transitions  $\epsilon$ -transition, wherein each state "q" is left with its weights pre-multiplied by an  $\epsilon$ -distance from state "p" to "q" in the automaton "A" to produce the automaton "B" equivalent to automaton A without  $\epsilon$ -transitions.

20. (Currently Amended) A method of producing an equivalent weighted automaton "B" with no  $\epsilon$ -transitions for any input weighted automaton "A" having a set of transitions " $\epsilon$ ", at least one of which is an  $\epsilon$ -transition, a set of states "p", and a set of states "q", the method comprising:

inputting a plurality of electrical signals representing the weighted automaton A, the weighted automaton A further representing a plurality of hypotheses with associated weights; and

producing a plurality of electrical signals representing the automaton B with no  $\epsilon$ -transitions, the producing comprising:

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computing an  $\epsilon$ -closure  $C[p]$  for each state "p" of the input weighted automaton "A";

for each of the states state "p", determining the non- $\epsilon$ -transitions from state the states "p";

for each of the states state "q" having a weight "w" within the computed  $\epsilon$ -closure  $C[p]$ :

adding to outgoing transitions from the states "p",  $E[p]$ , the non- $\epsilon$ -transitions leaving each of the states state "q", and

if state one of the states "q" is part of a set of final states  $F$ , and if a corresponding one of the states state "p" is not part of the set of final states  $F$ :

defining the corresponding one of the states state "p" as included within the set of final states "F" and the a final weight  $\rho[p]$  as pre- $\otimes$ -multiplied by w, the  $\epsilon$ -distance from state "p" to state "q" in the automaton A to produce the automaton B.

21. (Currently Amended) A method of removing string terms "a" from an automaton A having a set of states "p", a set of states "q", and a set of outgoing transitions from the set of states "p",  $E[p]$ , the method comprising:
- inputting a plurality of electrical signals representing the automaton A,
- the automaton A further representing a plurality of hypotheses with associated weights;

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producing a plurality of electrical signals representing an automaton B  
from the automaton A, the producing comprising:  
    computing an a-closure for each state "p" of the automaton A; and  
    modifying E[p] by:  
        removing each transition labeled with a string term "a"; and  
        adding to E[p] a non-"a"-string transition, wherein each state  
        "q" is left with its weights pre- $\otimes$ -multiplied by an a-distance from  
        state "p" to a state "q" in the automaton A to produce the  
        automaton B.

22. (Original) The method of claim 21, further comprising:  
    removing inaccessible states using a depth-first search of the automaton  
    A.
23. (Currently Amended) The method of claim 21, wherein adding to E[p] a  
non-"a"-string ~~transitions~~ transition further comprises leaving q ~~the state "q"~~  
with weights  $(d[p,q] \otimes \rho[q])$  to E[p].
24. (Currently Amended) The method of claim 21, wherein the ~~step of~~  
computing of an a-closure for each ~~input~~ state "p" of an input automaton A  
further comprises:



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removing all transitions not labeled with a string "a" from automaton A to produce an automaton  $A_a$ ;

decomposing  $A_a$  into its strongly connected components; and

computing all-pairs shortest distances in each of the strongly connected components component visited in reverse topological order.

25. (Currently Amended) The method of claim 21, wherein the step of computing of an a-closure for each ~~input~~ state "p" of an input automaton A further comprises:

decomposing  $A_a$  into its strongly connected components;

performing a single-source shortest-distance algorithm according to the following pseudo code:

```

for each  $p \in Q$ 
do  $d[p] \leftarrow r[p] \leftarrow 0$ 
 $d[s] \leftarrow r[s] \leftarrow \infty$ 
 $S \leftarrow \{s\}$ 
while  $S \neq \emptyset$ 
do  $q \leftarrow \text{head}(S)$ 
DEQUEUE( $S$ )
 $r \leftarrow r[q]$ 
 $r[q] \leftarrow 0$ 
for each  $e \in E[q]$ 
```

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do if  $d[n[e]] \neq d[n[e]] \oplus (r \otimes w[e])$

then  $d[n[e]] \leftarrow d[n[e]] \oplus (r \otimes w[e])$

$r[n[e]] \leftarrow r[n[e]] \oplus (r \otimes w[e])$

if  $n[e] \notin S$

then ENQUEUE ( $S, n[e]$ )

$d[s] \leftarrow \bar{1}_1$

26. (Currently Amended) The method of claim 21, wherein the step of computing of the a-closure for each state "p" further comprises computing each of the a-closures according to the following equation:

$$C[p] = \{(q, w) : q \in a[p], d[p, q] = w \in K - \{\bar{0}\}\}.$$

27. (Currently Amended) The method of claim 26, wherein the step of modifying of outgoing transitions of each state "p"  $E[p]$  further comprises modifying the outgoing transitions of each state p "p" according to the following procedure:

(1) for each  $p \in Q$

(2) do  $E[p] \leftarrow \{e \in E[p] : i[e] \neq a\}$

(3) for each  $(q, w) \in C[p]$

(4) do  $E[p] \leftarrow E[p] \cup \{(p, a, w \otimes w') : (q, a, w', r) \in E[q], a \neq a\}$

(5) if  $q \in F$

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- (6) then if  $p \notin F$
- (7) then  $F \leftarrow F \cup \{p\}$
- (8)  $\rho[p] \leftarrow \rho[p] \oplus (\omega \oplus \rho[q])$ .

28. (Currently Amended) The method of claim 27, wherein a state is a final state if some state "q" within a set of states reachable from "p" via a path labeled with an empty string is final and the a final weight is then:

$$\rho[p] = \bigoplus_{q \in e[p] \cap F} (d[p, q] \otimes \rho[q])$$

29. (Original) The method of claim 28, further comprising:  
 performing a depth-first search of the automaton A after removing the "a" strings.

30. (Currently Amended) A method of removing empty string terms from a transducer A having a set of states "p", a set of states "q", and a set of outgoing transitions from the set of states "p", E[p], the method comprising:

inputting a plurality of electrical signals representing the transducer A;

and

generating a plurality of electrical signals representing a modified

transducer A by:

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computing an  $\varepsilon$ -closure for each state of the states "p" of the transducer A;

modifying  $E[p]$  by:

removing each transition labeled with an empty string, and  
adding to the  $E[p]$  a non-empty-string transition, wherein  
each state of the states "q" is left with its weights pre-multiplied by  
an  $\varepsilon$ -distance from state a corresponding one of the states "p" to a  
respective one of the states state "q" in the transducer A to generate  
the modified transducer A.

31. (Original) The method of claim 30, further comprising:

removing inaccessible states using a depth-first search of the transducer  
A.

32. (Currently Amended) The method of claim 30, wherein adding to  $E[p]$   
non-empty-string transitions further comprises leaving the states q with weights  
( $d[p,q] \otimes p[q]$ ) to  $E[p]$ .

33. (Currently Amended) The method of claim 30, wherein the step of  
computing of the  $\varepsilon$ -closure for each input-state of the states "p" of an input the  
transducer A further comprises:

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removing all transitions not labeled with an empty string from transducer  
 A to produce a transducer  $A_e$ ;  
 decomposing  $A_e$  into its strongly connected components; and  
 computing all-pairs shortest distances in each component of the strongly  
 connected components visited in reverse topological order.

34. (Currently Amended) The method of claim 30, wherein the ~~step of~~  
 computing of the e-closure for each input state of an input of the states "p" of  
the transducer A further comprises:

decomposing  $A_e$  into its strongly connected components;  
 performing a single-source shortest-distance algorithm according to the  
 following pseudo code:

```

for each  $p \in Q$ 
  do  $d[p] \leftarrow r[p] \leftarrow \bar{O}$ 
   $d[s] \leftarrow r[s] \leftarrow \bar{I}$ 
   $S \leftarrow \{s\}$ 
  while  $S \neq \emptyset$ 
    do  $q \leftarrow \text{head}[S]$ 
    DEQUEUE(S)
     $r \leftarrow r[q]$ 
     $r[q] \leftarrow \bar{O}$ 
    for each  $e \in E[q]$ 

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do if  $d[n[e]] \neq d[n[e]] \oplus (r \otimes w[e])$

then  $d[n[e]] \leftarrow d[n[e]] \oplus (r \otimes w[e])$

$r[n[e]] \leftarrow r[n[e]] \oplus (r \otimes w[e])$

if  $n[e] \notin S$

then ENQUEUE ( $S, n[e]$ )

$d[s] \leftarrow \bar{1}_L$

35. (Currently Amended) The method of claim 30, wherein the step of computing of the  $\varepsilon$ -closure for each state of the states "p" further comprises computing each the  $\varepsilon$ -closure according to the following equation:

$$C[p] = \{(q, w) : q \in \varepsilon[p], d[p, q] = w \in K - \{\bar{0}\}\}.$$

36. (Currently Amended) The method of claim 35, wherein the step of modifying of the outgoing transitions of each state of the states "p" further comprises modifying the outgoing transitions of each state-p of the states "p" according to the following procedure:

(1) for each  $p \in Q$

(2) do  $E[p] \leftarrow \{e \in E[p] : i[e] \neq \varepsilon\}$

(3) for each  $(q, w) \in C[p]$

(4) do  $E[p] \leftarrow E[p] \cup \{(p, a, w \otimes w', r) : (q, a, w', r) \in E[q], a \neq \varepsilon\}$

(5) if  $q \in F$

Application/Control Number: 09/910,093  
 Art Unit: 2654

Docket No.: 2001-0226

(6) then if  $p \notin F$

(7) then  $F \leftarrow F \cup \{p\}$

(8)  $\rho[p] \leftarrow \rho[p] \oplus (\omega \oplus \rho[q])$ .

37. (Currently Amended) The method of claim 36, wherein a state is a final state if some state "q" within a set of states reachable from a corresponding state "p" via a path labeled with an empty string is final and the final weight is then:

$$\rho[p] = \bigoplus_{q \in \{p\} \cap F} (d[p, q] \otimes \rho[q])$$

38. (Original) The method of claim 37, further comprising:

performing a depth-first search of the transducer A after removing the empty strings.

Application/Control Number: 09/916,093  
Art Unit: 2654

Docket No.: 2001-0226

### REMARKS

Reconsideration and allowance in view of the foregoing amendment and the following remarks are respectfully requested.

By this amendment, claims 1-38 remain pending, claims 1-8, 10-21, 23-28, 30 and 32-37 having been amended.

#### Rejection of Claims 1-38

On page 2 of the non-Final Office Action of May 23, 2005, the Examiner rejected claims 1-38 under 35 U.S.C. 101 as allegedly being directed to non-statutory subject matter. On page 3 of the Office Action, the Examiner rejected claims 1-38 under 35 U.S.C. 112, second paragraph, as allegedly being incomplete for omitting essential structural cooperative relationships of elements. In particular, the Examiner pointed out that there is allegedly no input and output recited in the claims. Applicants submit that the amended claims obviate the rejections.

Amended independent Claim 1 is directed to a method of removing empty string terms from an automaton A having a plurality of states "p", a plurality of states "q", and a plurality of outgoing transitions from the plurality of states "p", E[p]. The method includes, among other things, inputting a plurality of electrical signals representing the automaton A representing, the automaton A further representing a plurality of hypotheses with associated



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weights, and producing a plurality of electrical signals representing an improved automaton A.

Applicants submit that amended claim 1 recites a physical input and output and structural cooperative relationships. Therefore, Applicants respectfully request that the rejections of claim 1 and dependent claims 2-9 be withdrawn.

Amended independent claims 10, 16, 19, 20, 21 and 30 have features similar to the previously-discussed features of amended claim 1. Therefore, Applicants respectfully request that the rejections of claims 10, 16, 20, 21 and 30, as well as dependent claims 11-15, 17, 18, 22-29 and 31-38 be withdrawn for reasons similar to those presented with respect to claim 1.

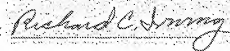
### CONCLUSION

Having addressed the rejection of all claims, Applicants respectfully submit that the subject application is in condition for allowance and a Notice to that effect is earnestly solicited.

Respectfully submitted,

Date: August 19, 2005

By:



Correspondence Address:  
Samuel H. Dworetzky  
AT&T Corp.  
Room 2A-207  
One AT&T Way  
Bedminster, NJ 07921

Richard C. Irving  
Attorney for Applicants  
Reg. No. 38,499  
Phone: 410-414-3056  
Fax No.: 410-510-1433

## EXHIBIT C



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS

P.O. Box 1459

Alexandria, Virginia 22311-1459

www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/910,093	07/20/2001	Mehryar Mohri	2001-0226	2374
26552	7590	04/04/2006	EXAMINER	
THOMAS JEFFERY MASON			AZAD, ABUL K.	
P.O. BOX 8432				
HERMITAGE, TN 37076			ART UNIT	PAPER NUMBER
			2636	

DATE MAILED: 04/04/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Examiner-Initiated Interview Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/910,093	MOHRI, MEHRYAR	
	<b>Examiner</b>	<b>Art Unit</b>	
	ABUL K. AZAD	2626	

**All Participants:**

(1) ABUL K. AZAD

(2) RICHARD C. IRVING (REG. NO. 38,499)

**Date of Interview:** 31 March 2006

**Type of Interview:**

☒ Telephonic

☐ Video Conference

☐ Personal (Copy given to: ☐ Applicant ☐ Applicant's representative)

Exhibit Shown or Demonstrated: ☐ Yes ☐ No

If Yes, provide a brief description:

**Status of Application:** Allowed

(3) \_\_\_\_\_

(4) \_\_\_\_\_

**Time:** \_\_\_\_\_

**Part I.**

Rejection(s) discussed:

Claims discussed:

Prior art documents discussed:

**Part II.**

SUBSTANCE OF INTERVIEW DESCRIBING THE GENERAL NATURE OF WHAT WAS DISCUSSED:

See *Continuation Sheet*

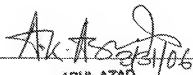
  

**Part III.**

☐ It is not necessary for applicant to provide a separate record of the substance of the interview, since the interview directly resulted in the allowance of the application. The examiner will provide a written summary of the substance of the interview in the Notice of Allowability.

☒ It is not necessary for applicant to provide a separate record of the substance of the interview, since the interview did not result in resolution of all issues. A brief summary by the examiner appears in Part II above.



**ABUL AZAD**  
**PRIMARY EXAMINER**

\_\_\_\_\_  
(Examiner/SPE Signature)

\_\_\_\_\_  
(Applicant/Applicant's Representative Signature - if appropriate)

Continuation of Substance of Interview including description of the general nature of what was discussed: The applicant's representative authorized to amend specification as follows:  
1st line after "RELATED APPLICATION" replace "Attorney Docket No. 2001-0226A" with - -US Patent No. 7,027,988 (Application No. 09/910,090)- -.

## EXHIBIT D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: : Confirmation No.: 2374  
: :  
Mehryar Mohri : Attorney Ref.: 2001-0226  
: :  
Serial No.: 09/910,093 : Art Unit: 2626  
: :  
Filed: July 20, 2001 : Examiner: AZAD, ABUL K  
: :

FOR: A SYSTEM AND METHOD OF EPSILON REMOVAL OF WEIGHTED  
AUTOMATA AND TRANSDUCERS

DECLARATION OF RICHARD C. IRVING  
SUPPORTING PETITION UNDER 37 C.F.R. §1.181  
TO WITHDRAW A HOLDING OF ABANDONMENT AND,  
ALTERNATIVELY, PETITION UNDER 37 C.F.R. §1.137(b)  
TO REVIVE ABANDONED APPLICATION

I, Richard C. Irving, declare

(1) On March 31, 2006, Examiner Abul Azad contacted me telephonically to discuss the above-mentioned application.

(2) Examiner Azad noted that an amendment to the disclosure was required. In particular, adjusting the paragraph following the heading "RELATED APPLICATION" to replace "Attorney Docket No. 2001-0226A" with "U.S. Patent No. 7,027,988 (Application No. 09/910,090".

(3) I authorized Examiner Azad to proceed with the proposed amendment and he indicated that no summary would be required to be submitted by the Assignee, AT&T Corporation, or myself.

(4) I have no recollection of Examiner Azad indicating that a Notice of Allowance had been issued in the above-mentioned case, that the Assignee, AT&T Corporation, had failed to paid any issue fees required, or that the above-mentioned application was in danger of being abandoned.

(5) I did not inform the Assignee of this conversation as I believed that the Examiner would issue an interview summary in due course and my experience with the Assignee indicated that reporting of such a conversation was unnecessary.

(6) For the foregoing reasons, I do not believe that either the Assignee or myself were put on notice that a Notice of Allowance had been issued and that the above-mentioned application was in danger of being abandoned.

(7) I further declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the above-identified patent application or any patent issuing thereon.



Date: Dec. 22, 2011

Richard C. Irving  
Attorney



# EXHIBIT E

Quy Le

---

**From:** Quy Le  
**Sent:** Tuesday, September 27, 2011 2:18 PM  
**To:** 'BRITT, BEVERLY R (Legal)'  
**Cc:** Tom Isaacson  
**Subject:** RE: US Patent Application 09/910093 Our Docket Number 2001-0226 US  
**Attachments:** 2001-0226 - Notice of Abandonment 10-3-06.pdf; 2001-0226 - Allowance 10-4-05.pdf

Hi Bev,  
Sorry I did not get back with you earlier, we do have access to PAIR. This case is abandoned because Issue Fees were not paid.  
The Notice of Allowance was sent on October 4, 2005 to customer #26552 (Thomas Jeffery Mason).  
Do you want Tom to ask Geoff Sutcliffe for authorization to revive this case.  
Thanks,

Quy Le  
Administrative Assistant to Thomas M. Isaacson Novak Druce + Quigg LLP 2810 Laurian Lane,  
Suite 200 Dunkirk, Maryland 20754  
Tel: (410) 286-9405 - Ext 1103  
Fax: (410) 510-1433

Ready to Engage tm

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-----Original Message-----

**From:** BRITT, BEVERLY R (Legal) [<mailto:bb2372@att.com>]  
**Sent:** Tuesday, September 27, 2011 1:44 PM  
**To:** Quy Le  
**Subject:** US Patent Application 09/910093 Our Docket Number 2001-0226 US

Hi Quy,

This is that case filed in 2001 - we asked you to do a status inquiry not long ago. Are you able to view this in PAIR? I'm still going through my list of cases with no activity, and I noticed that you filed an IDS in 2007. Maybe you can ascertain the status through PAIR. I have a hunch something was lost.

Thanks,  
Bev

# EXHIBIT F

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No.	Atty	Docket Number	Due Date	CD Rc'd?	Docketed? Pre Post	Comments	Rating	2 <sup>nd</sup> Sam Review	Attest ?	Filed / Reported?	Invoc'd/ Billed?	RJUS Cut
✓		1999-0368CIP-1	July 1 (Oct. 7) extended 3 months			Non-Final Response Due. Check on IDS material cities in 0368Con case June 2005. Cite in the response. Email Sam - left message - suggestion is to drop due to prior art. Tom, per Ann Taylor's e-mail of 7-29-05 we are to prepare response and continue prosecution. Three months extended. Ack'd YES 1999101710	High S.			Yes/Yes	#640 \$2000 \$1200 fees.	
✓		1999-0421B-EPO				Discussions with foreign agent regarding minor claim changes. 1999010334				Yes/Yes	#641 \$100	
✓		1999-0368-EPO	Oct. 7			Preparing and providing instructions to foreign agent based on their inquiry. Ack'd YES 1999010170				Yes/Yes	#642 \$550	
✓		010-0028-PCT				Respond to Non-Inclusion of Drawings. Ack'd YES				Yes/Yes	\$0	
✓		Palm-2928	Sept. 16			RCE filed. Ack'd YES				Yes/Yes	8.8 hours Fees: \$1080 RCE: \$X	
✓	RI	Palm-3779	Sept. 1 (sep. 19)			Final Response due (two month date Sep. 19). Ack'd YES				Yes/Yes	RI: 6.2 TI: 2.6 (Fees 395)	
✓		111775-EPO	Sept. 2			Preparing divisional claim sets for EPO Div (\$100) Div 1 (\$1000), Div 2 (\$800) and Div3 (\$1000). Revising claims and discussions with Pam Heulster. 1998005467				Yes/Yes	#643 \$4500	
✓		112183Con-2	Sept. 27			Rescission of Previous Non-Pub-Request. Ack'd YES				Yes/Yes	\$0	
✓		010-0011A	Sept. 17 (Sep. 20)			Reply to Non-Inclusion of Drawing filed with Int. Appn. (Postcard received. – TMI, this has now been filed as of 10-05, right? See above.				Yes/Yes	\$0	
✓		112156EPO -Div	Jul 20 <sup>th</sup>			Review claim set - instruct M&C to file divisional application.				Yes/Yes	\$0	
✓		014-0010PCT	Nov. 15			File a request to correct the Application No. of the priority case this is supposed to be 60573,413 filed May 21, 2004. Wrong number in REQUEST. Mainkrodt docket 669-1-001 for Prov WE need to send a copy to the PCT at <del>cafile.3991402020020103</del> when filed.				Yes/	N/A	
✓		2001-0283A-CON	Aug. 4			File IDS citing art from EPO search report. No fee. Postcard Recd. – Yes 2001021713				Yes/Yes	#644 \$350	
✓		013-0001	Jul 10 (Sept 9)			Convert Provisional to non-prov. Presumption is not to file in the PCT unless instructed otherwise. See app. spreadsheet.				Yes/Yes	See App. Spreadsheet for	

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No.	Atty	Docket Number	Due Date	CD Rc d?	Docketed? Pre Post	Comments	Rating	2 Sam Review	Attest ?	Filed / Reported?	Invoiced/ Billed?	RJUS Cut
✓		010-0011-PCT	July 10 (Oct. 13)			Chapter II Demand filed Ack'd - Yes Fees not paid yet.				Yes/Yes	Invoicing info. \$0 now	
✓		010-0011A-PCT	Oct. 11			Chapter II Demand filed Ack'd - Yes				Yes/Yes	\$0 fees now	
✓		010-0011B-PCT	Oct. 11			Chapter II Demand filed Ack'd - Yes				Yes/Yes	\$0 fees now	
✓		010-0011C-PCT	Oct. 11			Chapter II Demand filed Ack'd - Yes				Yes/Yes	\$0 fees now	
✓		010-0013-PCT	Oct. 11			Chapter II Demand filed Ack'd - Yes				Yes/Yes	\$0 fees now	
✓		010-0019-PCT	Oct. 11			Chapter II Demand filed Ack'd - Yes				Yes/Yes	\$0 fees now	
✓		010-0028-PCT	Oct. 11			Chapter II Demand filed Ack'd - Yes				Yes/Yes	\$0 fees now	
✓		010-0030-PCT	Oct. 11			Chapter II Demand filed Ack'd - Yes				Yes/Yes	\$0 fees now	
✓		2003-0100	Jul 26 (Jul 28)			Response to Notice of Non-Recordation of Assignment (i.e. Credit Card Form Not available. Ack'd Yes				Yes/Yes	No charge.	
✓		3216 Palm	Sept. 2 (Sept. 12)			Appeal Brief filed 9/10. Ack'd yes Moira - we'll mail out first class three copies of this with a simple transmittal form.				Yes/yes	8.8 hrs (they were charged \$250 fee)	
✓		2004-0236-EPO	Nov. 1 (Feb. 12 '06)			Order certified copies of Priority documents (prov and non prov?) and forward to Marks and Clerk. (Rec'd cert copies 10-21)				Yes/yes	#945 \$150 PTO Fee \$40 #902 INVOICE IN NOV. \$50 atty fees Fed X: \$47.85	
✓		010-0012	Aug 26 (Sept. 13)			Prepare Response to Notice to File Corrected Application Papers. PC Ack'd - Yes rec'd. 9-17-05 - Tom, are we going to report this one out.				Yes/	n/c	
✓		2001-0479-CAN	Sept. 5 (Oct. 21)			Letter to Kirby, pay grant fees and take necessary steps to bring to issue. Instructions include claim set for a divisional application in Canada. Ack'd YES. 2001023507	Gold			Yes/Yes	# 846 \$650	
✓		2001-0479-DIV	Aug. 29 (Oct. 21)			File a Divisional, if possible, review and advise AT&T on same. Ack'd Yes - S.N. 11246,807. 2001023507	Gold			Yes/Yes	#947 \$2000 \$1300 fees \$14 mailing	
✓	RI	2005-0266	Aug. 25 (Aug. 29)			FILE PROVISIONAL IF APP is NOT DONE - Publication in Sept. 2005. This is done				Yes/ yes	\$0 billed on app spreadsheet.	
✓		111062 India	Aug 30 (Dec 30)	Yes		Instructions to DePerning for Response due Dec. 30, 2005. Ack'd YES	High S.			Yes/yes	#948 \$800	
✓	RI	1999-0679A-CON	Aug. 31 (Oct. 11)			Non-Final Office Action due. Ack'd - Yes 1999010962	High S.			Yes/Yes	#949 \$2000 \$150 fees	\$1000

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No.	Atty	Docket Number	Due Date	CD Rc d?	Docketed? Pre Post	Comments	Rating	2 <sup>nd</sup> Sam Review	Attest ?	Filed / Reported?	Invoiced/ Billed?	RJUS Cut
✓		111775Con-3	Aug. 31			File corrected FR (or amendment) to insure that continuation data is correct. PC Ack'd - Yes 9-21-05				Yes/Yes	n/c	
✓		111775Con-2				File IDS like the one in Con-1. Ack'd Yes 1998005467				Yes/Yes	#850 \$350	
✓		111775Con-3				File IDS like con-1. Ack'd - Yes 1998005467				Yes/Yes	#851 \$350	
✓		111775Con-4	Oct. 30			File IDS like con-1 Ack'd - Yes 1998005467				Yes/Yes	#852 \$350	
✓		2002-0281	Sep. 16 (Oct. 25) Three Month Due Date			Prepare response to Final Office Action (two months - Sep. 25) <b>Change of Correspondence is needed also. Ack'd – Yes 2002027043</b>				Yes/Yes	#853 \$1000	
✓	RI	2000-0056	Sep. 17 (Oct. 17) 1 month extended			One month extended - RCE? Advisory Action due date one month extended . Ack'd YES 200001167				Yes/Yes	#854 \$2000 \$910 fees	
✓		2004-0026:EPO	Sep. 17 (ASAP)			Instruct Agent to obtain coverage in Hong Kong. Please instruct Marks & Clerk as soon as possible to request examination of this application at the appropriate time and to pay the required fees. <b>AT&amp;T confirms its initial designation of the states of France, Germany and Great Britain. Ack'd YES 2004031461</b>				Yes/Yes	#856 \$100	
✓		111853-Japan	Sep. 19 (Sep. 19)			Japanese response due Oct. 19 - get instructions by Sept. 19th. Note the US cases to get best claim set covering spoken dialog. 1998005545	High S.			Yes/Yes	#857 \$800	
✓		111853-Japan-Div	Sep. 19 (Sep 19)			Japanese response due Oct. 19th. Review this with US and other Japanese case. Ack'd YES 1998005545	High S.			Yes/Yes	#858 \$800	
✓		111775-Con-1	Sep. 8 (Nov. 8)			Review of Prior art in related cases and European Case; preparing and filing RCE with IDS. PC Ack'd Yes 199800546				Yes/Yes	#859 \$2000 \$790 RCE Fee \$70 doc fees.	
✓	RI	3575.Palm	Sep. 1 (Sep. 21)			RCE-filed with response. Ack'd YES				Yes/Yes	RI 8.6 hrs TI: 2 hours	
✓	RI	Palm-2932	Sep. 1 (Sep. 14)			Final Response Due (two months Sep. 14). Ack'd YES				Yes/Yes	RI: 6.5 RI: 2.3 (395 fees to P-Source D.A.)	
✓	RI	Palm-3615	Sep. 2 (Oct. 11)			Appeal Brief Due ONE month Extended. File Pet. to ext. time and fee. Ack'd Yes				Yes/Yes	RI: 7.1 hrs TI: 1.7 hrs (SPC account \$530).	

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ARCHIVE October 2005

No.	Atty	Docket Number	Due Date	CD Rc d?	Docketed? Pre Post	Comments	Rating	2 Sam Review	Attest ?	Filed / Reported?	Invoiced/ Billed?	R/US Cut
✓	Ri	112233Con-2 (AT&T shows 112233Con-1)	Sept. 5 (Oct. 7)			Final OA responded to already see above. AA received - consider Notice of Appeal or an RCE. - Number here is actually 112233Con-1 - check app. numbers. Final OA mailed 4/7/2005. 6 months is Oct. 7th. Ack'd Yes 1998050541				Yes/Yes	#660 \$5.5 RI 1 for TI #631 \$1000 \$1810 fees.	
✓		2001-0370-CAN	Aug. 19 (Oct. 19)			Forward Instructions to KEGB for Response. Ack'd Yes 2001022431	High S.			Yes/Yes	#661 \$800	
✓		2001-0370				File IDS in US case citin Canadian Ran case. Ack'd Yes 2001022431				Yes/Yes	#662 \$350 \$180 fees	
✓		2002-0107	Sep 9 (Oct. 23)			Non-final Response along with Change of Correspondence due (Note the Exmr. objected to the drawings. need to contact draftsman) Fix Drawings. extended. PC Ack'd - Yes 2002025767	High S.			Yes/Yes	#663 \$2000 \$120 fees.	
✓		113351	Sep. 9 (Oct. 24)			Non-final Response due. Also file Change of Correspondence. Once month extended. Ack'd Yes 1999007236	High S.			Yes/Yes	#664 \$2000	
✓	Ri	2000-0600E-Con	Sept. 10 (Oct. 24)			Respond to notice to file missing parts - corrected FR. Ack'd Yes 2000017528				Yes/Yes	#665 \$500. \$330 fees	
✓		PALM-3197	Sept. 13 (oct. 13)			Response to Final Office Action Ack'd Yes				Yes/Yes	8.8 hrs ext. time.	
✓		2002-0236	Sep. 15 (Sep. 29)			Respond to Notice of Non-Recordation of Assignment document (Execution Date of inventors missing). Ack'd Yes				Yes/Yes	n/c	
✓		PALM-3215	Sept. (Sept. 21)			Response to Final Office Action (2 X extended) Ack'd Yes				Yes/YES	8.8 hrs (\$620 fees to BPC account)	
✓		112806-JP	Sept. 26 (ASAP)			Forward instructions to Okabe to request examination and pay fees. Ask for copies of the application and claims as well. Ack'd YES 1998060404				Yes/yes	#666 \$100	
✓	Ri	PALM-3238	Sep 27 (Nov 4)			Response to Final Office Action due - (3-month due date.) Ack'd Yes				Yes/Yes	RCI 4.8 hrs. TI. 4.0	
✓		2000-0600B	Sept. 27 (Oct. 27)			Issue Fees Due. Ack'd Yes 2000017528	High S.			Yes/Yes	#667 \$350 \$1403 fees.	
✓	Ri	2000-0600B-Con				Filed request for corrected filing receipt Ack'd Yes 2000017528				Yes/Yes	#683 \$200	
✓		1999-0394-MEX	Sept. 30 (Oct. 14)			Forward instructions for preparation of Response due. Ack'd YES 1999010272	Gold			Yes/yes	#668 \$500	
✓		2000-0031-CON	Sept. 30 (Oct. 16)			Response to Notice of Omitted Items (Fig. 1 described in specification. Ack'd Yes 2000011577	High S.			Yes/Yes	#669 \$400 (\$400 in fees - wait to invoice - we may be	

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ARCHIVE October 2005

No.	Atty	Docket Number	Due Date	CD Rc d?	Docketed? Pre Post	Comments	Rating	2 Sam Review	Attest ?	Filed / Reported?	Invoice/ Bill?	RJUS Cmt
✓	RI	2000-0491	Sept. 30 (Oct. 1)							Yes/Yes	reimbursed)	
✓		113238con-3	Oct. 20 (Oct. 29)			Response to Notice of Non-Compliant Amendment (B. Britt also requested copy of Response filed in August). Ack'd YES				Yes/Yes	#870 \$200 \$130 fee	
✓	RI	2004-0132	Oct. 1 (Oct. 24)			Respond to notice to file missing parts/change corr. address. Ack'd - Yes 199806945				Yes/Yes	#884 \$125 \$130 Missing parts fee	
✓		1998-0328-Con	Oct. 1 (Oct. 27)			Response to Notice to File Missing Parts. Mr. Gupta's fax is in the file ready to go. Ack'd - Yes 2004032448	High S.			Yes/Yes	#871 \$2000	
✓		Palm-3598	Oct. 1 (Oct. 14)			Prepare and file response. Figured out with the Examiner what was going on with the claims. Ack'd - Yes 1999010055				Yes/Yes	8.8 hrs.	
✓	RI	2001-0415A-	Oct. 1 (Nov. 16)			Non Final Response due. Ack'd - Yes	H. S.			Yes/Yes	#869 \$2000	
✓		PALM-3668	Oct. 3 (Oct. 17)			Response to Final Office Action (Three Month Date) also being filed Rule 132 Decs. (2) Ack. Yes 2001022786				Yes/Yes	8.8 hrs (Fees charged to account)	
✓		112694-CON	Oct. 12 (Oct. 26)	Yes		Response to Final Office Action (1 ext.) Ack'd Yes				Yes/Yes	#872 \$2000	
✓	RI	PALM-3504	Oct. 13 (Oct. 27)			Non-Final Response and Terminal Disclaimer Due. Ack'd YES 1998006292. Advise Ann to file Continuation done YES 1998006292	High S.			Yes/Yes	RCI 5.7 hours Tr: 3.1 hrs	
✓		PALM-3612	Oct. 13 (Oct. 27) (1 mon. extended)			Response to non-final Office Action along with new DEC for inventor. Ack'd - Yes				Yes/Yes	1.5 hrs (\$310 to their account)	
✓	RI	PALM 2940	Oct. 14 (Oct. 28)			Response to Advisory Action due – NOTICE OF APPEAL FILED – READY FOR REVIEW. Ack'd - Yes				Yes/Yes	RCI 2.2 hours TMR: 6.6 hrs. (Palm \$120 fee)	
✓	RI	2000-0860	Oct. 15 (Nov. 3)			Response to non-final Office Action along with a Terminal Disclaimer. Ack'd - Yes				Yes/Yes	#873 \$2000	
✓	RI	2000-0660-CON	ASAP			Response Due Ack'd - Yes 2000017528	Low S.			Yes/Yes	#874 \$2000 \$1400 fees	RCI 3.0 hrs.
✓	RI	PALM.3666	Oct. 24 (Oct. 31) (2X)			Prepare and file Continuation Application along with Request for Non-Publication. Ack'd Yes – S.N. 11/259.883 2000017528				Yes/Yes	RI 16.5 hrs 8.8 hrs. \$225 ex. fee to Berry account	



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Matters Assigned to the Law Office of Thomas M. Isaacson  
ARCHIVE October 2005

No.	Atty	Docket Number	Due Date	CD Rc d?	Docketed? Pre Post	Comments	Rating	2 <sup>nd</sup> Sam Review	Attest ?	Filed / Reported?	Invoc'd/ Billed?	RJUS Ct
✓	RI	PALM.3740	Oct. 24 (Oct. 31) (2X)			Response to Final Office Action along with RCE and Petition for Extension of Time. Ack'd - Yes				Yes/Yes	RI: 5.5 hrs 8.8 hrs SRC, ext. time fees.	
✓		2002-0281- PCT(Canada)	Oct. 25, 2005			Forward letter to KEGB requesting national filing of PCT Application (2002-0281-PCT) – Ack'd YES 2002027043				Yes/Yes	#875 \$200	
✓		2002-0281-PCT (EPO)	Oct. 25, 2005			Forward letter to KEGB requesting national filing of PCT Application (2002-0281-PCT) – Ack'd YES 2002027043				Yes/Yes	#876 \$200	
✓		2002-0281-PCT (Japan)	Oct. 25, 2005			Forward letter to KEGB requesting national filing of PCT Application (2002-0281-PCT) – Ack'd YES 2002027043				Yes/Yes	#877 \$200	
✓		2002-0281-PCT (South Korea)	Oct. 25, 2005			Forward letter to KEGB requesting national filing of PCT Application (2002-0281-PCT) – Ack'd YES 2002027043				Yes/Yes	#878 \$200	
✓	RI	1999-0104	Oct. 25 (Nov. 12)			Response to Office Action (non-final). Ack'd - Yes 1999009831	High S.			Yes/Yes	#890 \$2000	
✓	RI	PALM-ROME	Nov. 1			Analysis of Rome Search Results: reviewing disclosure for determining how many inventions may be generated.				Yes/Yes	RI: 6.9 TI: 1 hr	
✓	JS	PALM.3589	Nov. 1 (Nov. 10) (2X)			Response to Final Office Action due along with Petition for Exten. of Time (2X). Notice of appeal filed. Ack'd - Yes.				Yes/Yes	JS 5.0 TI: 3.8 (fees to bpc account)	
✓	JS	PALM.3214	Nov. 1 (Nov. 13)			Response to non-Final Office Action due along with Pet. for Ext. of Time. Ack'd - Yes				Yes/Yes	Jay 5.0 TI: 3.8 (fees)	
✓	RI	2000-0600C	Nov 3			Prepare and file IDS citing Ref. fr. PTO 892 fr 2000-0600 – Ack. Yes 2000017528				Yes/Yes	#879 \$350	
✓	RI	2000-0600F	Nov 3			Prepare and file IDS citing Ref. fr. PTO 892 fr 2000-0600 – Ack. – Yes 2000017528				Yes/Yes	#880 \$350	
✓	RI	2000-0600G	Nov 3			Prepare and file IDS citing Ref. fr. PTO 892 fr 2000-0600 – Yes 2000017528				Yes/Yes	#881 \$350	
✓	RI	2000-0600H	Nov 3			Prepare and file IDS citing Ref. fr. PTO 892 fr 2000-0600 – Yes 2000017528				Yes/Yes	#882 \$350	
✓	JS	PALM.3505	Nov. 4 (Nov. 16) (2X)			Response to non-final Office Action along with Petition for Extension of Time for 2 months – Ack. Yes				Yes/Yes	JS 5.0 hrs. RI 1.25 hrs. TMI: 2.55 PTO \$225.00	
✓	JS	PALM.2631	Nov. 7 (Nov. 21) (1X)			Response to Final Office Action due along with Pet. for Ext. of Time for one month. Ack'd YES				Yes/Yes	JS: 5 TI: 3.8 (530 berry fees)	
✓	JS	3594-Palm	Nov. 10 (Nov. 24)			Non Final Response due. Ack'd YES				Yes/Yes	Jay: 5 hrs	

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No.	Atty	Docket Number	Due Date	CD Rc'd?	Docketed?		Comments	Rating	?Sam Review	Attest ?	Filed / Reported?	Invoiced/ Billed?	RI/JS Cut
					Pre	Post							
✓												TT: 3.8 hrs (\$25 fee)	
		PALM 3197	Nov. 11 (Nov 13) (2X)				Respond to Advisory Action – Filed Notice of Appeal. Ack'd Yes				Yes/Yes	\$350 (berry Fees)	
✓	RI	2001-0343	Oct. 5 (Nov 26)				Response to Final Office Action. Three month date. Also needed is Change of Corr. and/or POA . Ack'd yes 2001022223	Low S.			Yes/yes	#891 \$2000 \$840 RCE	
✓	RI	Palm 3666	Nov 23 (Nov 30)				Response to Advisory Action – RCE with EOT due. Ack'd YES				Yes/yes	RCE .50 hrs. Bill Paint: \$360 (BPC fees \$680)	

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Nov-Dec 2005

No.	Atty	TML Docket No.	Client/Matter No.	Callup Date	Due Date	Docketed?		Comments	Rating	7Sam Review	Filed/Reported?
						Pre	Post				
		MPEG-4 Licensing		1/12/2005				Trip to Taiwan and S. Korea:			yes/yes
								Airfare: \$6000			
								Hotel/food:			
								Taxi/transportation:			
								Hours:			
								11/12-14: Preparation for and meeting with Cyberlink, discussion with Mike Robinson - 10 hours:			
								11/12, 11/16, 11/21-22: Preparation for and meeting with Samsung Digital Media Group: Discussion with Mike Robinson, preparing reporting memo and discussions with Pam Huesler - 14 hours			
								11/13, 11/16: Preparation for and meeting with Samsung Telecom Group, discussions with Mike Robinson, study of MPEG standard - 10.5 hrs			
								11/13, 11/17: Preparation for and meeting with LG, discussions with Mike Robinson - 10 hours			
								2002026335			
		2000-0639						11/23: Review of matter 2000-0639 - preparing communication to Joern Ostermann, discussion with Pam H. - 1.2 hours.			yes/yes
✓		2001-0283		7/22/2005	8/4/2005			File IDS citing art from EPO Search Report. Pay Fee? Tom, Issue Fee Paid back in April. Do you want to still file? NO - 2000018135			n/a
✓		2001-0283A		7/22/2005	8/4/2005			File IDS citing art from EPO search report. Pay fee? Tom, case has issued as a patent back in May. FYI I went ahead and prepared an IDS for 2001-0283-CON - SEE BELOW. Don't file in this case, just the Con case. 2001021713			n/a
✓		112884		7/29/2005	8/13/2005 (11/13) (3x)			2001021713 Response due to non-final Office Action - Tom please take a look at the folder for this, there are a bunch of articles related to MPEG, do they belong there? YES	Gold		Yes/Yes

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No.	Atty	TMI Docker No.	Client/Matter No.	Callup Date	Due Date	Docketed?		Comments	Rating	7Sam Review	Filed/Reported?
						Pre	Post				
✓		112884-CON		10/24/2005	11/13/2005			Filed Extension of Time Request. Ack'd - Yes - (Petition for Extension of Time filed - see below) Prepare and file Cont. of parent case. PC Ack'd - Yes - S.N. 11/271.225	Gold		Yes/Yes
✓		2001-0045		9/15/2005	11/12/2005			Pay Issue Fee - Ack'd - Yes			Yes/Yes
✓		111775-Con-3		9/27/2005	11/30/2005			File IDS Ack. Yes (Tom, this was not even on matters spreadsheet, yet we filed an IDS on this in Oct. - it has also not been reported out. Do you want me to report out?			Yes/Yes
✓		111775-Con-4		9/27/2005	11/31/2005			File IDS - use the one from 111775-Con-3 (Con-1 IDS was filed - we need to file the Con-3 IDS still) 1998005467 (Tom, I'm not sure why this is still on here - we filed IDS's for both Con-3 and Con-4 in Oct.) They have not yet been reported to yet, do you want me to report them? Ack. - Yes Postcard received	High S.		Yes/Yes
✓		2001-0226A-Con		9/31/2005	11/29/2005			File IDS in continuation case. Ready. Ack'd - Yes			Yes/Yes
✓		2000-0600A-Con		9/1/2005	11/23/2005			File IDS in continuation case (Cite all cases). Ack'd - Yes 2000017528			Yes/Yes
✓		2004-0319			12/16/2005			File Recission of Non-Publication Request Ack'd Yes 2004033322			yes/Yes
✓		2004-0319-Canada		9/5/2005	12/16/2005			Prepare for foreign filing in Canada - Ack. YES 2004033322			yes/yes
✓		112592A		9/13/2005	10/29/2005			Response to Non-final Office Action due. One Month Extended. Ack'd - Yes	High S.		Yes/Yes
✓		2003-0083 - EPO		9/23/2005	12/23/2005			Letter to Marks & Clerk instructing them to req. exam and pay fees. Note AT&T confirms its designation of the Aries, FR, GE and GR BR. S. Additional letter to Mark & Clerk instructing them to pursue application in Hong Kong. Ack'd YES <b>Tom letter is ready and dated for 12-16-05</b> 2003029381	High S.		yes/yes
✓		2002-0280-EPO		9/25/2005	11/25/2005			Forward instructions to Marks& Clerk to file a request for recordal at Hong Kong Patent Office. Ack'd Yes			Yes/yes
✓		1999-0214-EPO		9/26/2005	11/27/2006			Forward instructions to Modiano re to pay required fees - see Ann email for states. Ack'd YES 199909933			yes/yes

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Nov-Dec 2005

No.	Atty	TMI Docket No.	Client/Matter No.	Callup Date	Due Date	Docketed?		Comments	Rating	7Sam Review	Filed/Reported?
						Pre	Post				
✓	RI	2000-0600B-Con		9/27/2005	11/30/2005			File IDS in Con case. Ack'd Yes 2000017528			yes/Yes
✓		112806-India (2723)		8/31/2005	12/31/2005 unextendible			Instructions to DePenning for Response due 12-31-2005. Ordering copy of assignment document and forwarding to De Penning. Ltr: Ack'd YES <b>Received and forwarded assignment doc: Yes on 12-15-05</b>			Yes/yes
								1998006404			
✓		112806-India (2746)		8/30/2005	12/30/2005			Instructions to DePenning for Response due 7-30-05. Asked Ann for information - don't have the app or claims or figures and other stuff to prepare appropriate response. Instructed to DROP this case in favor of 2723 in same letter. Ack'd YES	Low S.		Yes/yes
✓		2005-0037		11/1/2005	11/14/2005			Response to missing parts. Assigns/decs ready to file. Ack'd - Yes 2005033986			Yes/yes
✓		2005-0266		11/1/2005	11/19/2005			Missing parts. Assigns/decs ready to file. Charge corr. address. assignment recordation Ack'd Yes			Yes/yes
✓		2004-0120 EPO.		10/1/2005	11/7/2005			Preparing foreign filing and EPO and Canada. Ack'd YES			Yes/yes
✓		2004-0120-Can.		10/1/2005	11/7/2005			Preparing foreign filing in Canada. Ack'd YES			Yes/yes
✓		1998-0394-Con		10/1/2005	10/29/2005			Prepare Response along with Terminal Disclaimer and Change of Correspondence Form. Ack'd Yes 1998010272	GOLD		Yes/yes
✓		113351-Canada		10/12/2005	11/12/2005			Forward instructions to KEGB for Response due 11-12-05. Ack'd Yes	High S.		Yes/yes
✓		113351A-Canada		10/12/2005	11/12/2005			Forward instructions to KEGB for Response due 11-12-05 Ack'd Yes	High S.		Yes/yes

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Nov-Dec 2005

No.	Atty	TMI Docket No.	Client/Matter No.	Callup Date	Due Date	Docketed?		Comments	Rating	7Sam Review	Filed/Reported?
						Pre	Post				
✓		2001-0161A		9/30/2005	10/16/2005 six months			Has this been allowed? Do we need to file a Notice of Appeal? Tom, you filed a RCE with response on 9/15/05. Ack. Yes Invoice for telephone conf. with Examiner and Resending the Response/RCE remarks 28 Nov. since the PTO lost the previous submission. Ack'd Yes (28 Nov.)	Gold		Yes/Yes
✓		2003-0223-EPO		10/19/2005	12/19/2005			Letter to Marks & Clerk instructing them to req. exam & pay fees. Note AT&T confirms its designation of the countries of France, Germany and Great Britain. Ack'd YES			Yes/Yes
✓		112043-EPO		10/20/2005				200302973 Fwd. instructions to EPO agent re response due 11-25-05. Ack'd YES	High S.		Yes/Yes
✓		112298-CON-2		11/1/2005	11/15/2005 12/15 (1X)			Preparing substitute specification, reviewing and responding to Notice to File Corrected Appln. Papers. PC Ack'd Yes			yes/ Yes
✓		2005-0100		11/1/2005	11/14/2005			Response to Notice to File Missing Parts. Change corr. address, assignment recordation papers Ack'd - Yes			Yes/Yes
✓		2003-0290-China		11/18/2005	11/23/2005			2005034073 Prepare and forward reporting letter to CCPIT re fwd certified copies of application and assignment - Ack'd. - Yes			Yes/Yes
✓	RI	2001-0105		11/8/2005	11/22/2005			Prepare Response to Final Office Action (2-month date). Also needs a Change of Correspondence. Ack'd Yes	Low S.		Yes/Yes
✓		2001-0370		11/8/2005	11/22/2005			Response to Non-final Office Action due. Also file Change of Correspondence and/or POA - Ack. Yes	High S.		Yes/Yes
✓		Palm-3216		11/9/2005	11/23/2005			Conference with Examiner - sending an amended claim set. Ack'd YES (success-email received)			Yes/yes
✓		2001-0061-Japan		11/10/2005	11/29/2005			Fwd. Instructions to Okabe to request examination and pay required fees. Ack'd YES			Yes/yes
✓		1999-0135-CON-1		11/11/2005	11/25/2005			Response to Non-final Office Action due. Also needed is Change of Correspondence and/or POA and Terminal Disclaimer. Ack'd - Yes	High S.		Yes/Yes

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No.	Atty	TMI Docket No.	Client Matter No.	Callup Date	Due Date	Docketed?		Comments	Rating	7Sam Review	Filed/Reported?
						Pre	Post				
✓		2001-0309		11/11/2005	12/25/2005			Response to Non-final Office Action due. Also need to file Authorization to Act and Change of Correspondence. ONE MONTH extended. Ack'd Yes 2001021885	High S.		yes/Yes
✓	RI	1999-0104-CON		11/16/2005	11/30/2005			File IDS of all art cited in parent application. Ack'd Yes 1999009831	High S.		yes/yes
✓		2001-0045-CON		11/16/2005	11/30/2005			File IDS of all art cited in parent appln. and PTO 892 included with Notice of Allowance. Ack'd Yes 2001018692	High S.		yes/yes
✓	RI	111775-CON-4		11/18/2005	11/26/2005			Response to Notice to File Corrected Appln. due (Substitute Specification needs to be submitted??)Ack'd - Yes 1998005467			Yes/Yes
✓	RI	Parm 3506	✓	11/21/2005	12/6/2005			Response to Non-Final Office Action Due -- Ack. Yes PC Mailed to Berry			Yes/Yes
✓		113351		11/28/2005	12/2/2005			Prepare Response to Notice of Non-Compliant Amendment. Ack'd - Yes			Yes/Yes
✓	RI	113101		11/28/2005	12/9/2005			Response to Non-Final Office Action: Also need to file a Change of Correspondence Ack'd Yes 1198008708	High S.		Yes/Yes
✓		2000-0606		11/28/2005	12/28/2005			Pay Issue Fee and Publication Fees: preparing Comments on the Reasons for Allowance - Ack'd - Yes 2000017632			yes/Yes
✓		2000-0606-CON		11/28/2005	12/28/2005			Prepare and file Continuation. Ack'd YES 2000017632			yes/yes
✓		2000-0499			12/14/2005			Filed IDS in US case. Ack'd Yes 2000014688			yes/yes
✓		2000-0499-EPO/Can		11/28/2005	12/9/2005			File IDS in U.S. and Canada. Cases re EPO Search Report dtd. 9-9-05 - Lit to Canada is ready. Ack'd YES 2000014688			yes/yes
✓	RI	PALM-3599		11/30/2005	12/22/2005			Response to Non-final Office Action due. Ack'd Yes			Yes/Yes
✓	RI	2000-0600B-CON		11/16/2005	11/30/2005			Prepare Petition to Respond to Response to Request for Corrected Filing Receipt. Ack'd Yes			Yes/Yes

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No.	Atty	TMI Docket No.	Client/Matter No.	Callup Date	Due Date	Docketed?		Comments	Rating	7Sam Review	Filed/Reported?
						Pre	Post				
✓		112063CIP		12/1/2005	12/12/2005			Response to Final Office Action (two month date is Sept. 12). Ack'd Yes 1998005672	Gold		yes/ Yes
✓		2000-0606-EPO		12/1/2005	12/16/2005			Find instructions to M&C re pay grant fees and take necessary steps to bring case to issuance - Ack'd YES - resent on 2/23/2006 2000017632			yes/yes
✓		2001-0415A			12/16/2005			Response to Advisory Action with Petition for Extension of Time - Ack. Yes 2001022786			yes/Yes
✓		2000-0302-CON		12/1/2005	12/20/2005			Prepare Response to Not. to File Corrected Appln. Papers re Sub. Spec and Pre. Amend. Ack'd Yes 2000013525			yes/Yes
✓	RI	PALM-3629		12/2/2005	12/23/2005			Response to Non-final Office Action due. Ack'd Yes			yes/yes
✓	RI	PALM-3752		12/2/2005	12/23/2005			Response to Non-final Office Action due Ack'd Yes			yes/ Yes
✓		2003-0067-China		12/2/2005	12/8/2005			Upon receipt of Certified copies of U.S. Appln and Assignment docs. mail out via courier to Chinese Agent with reporting letter. Received certified copy fr PTO on 12-17-05 fwd. to CCPIT via Exp. Mail 12-20-05 Ack - Yes 2003029270			Yes/Yes
	RI	016--0001		12/9/2005	12/9/2005			Drafting and sending email to client reminding client of upcoming deadline for foreign filing. Client later responded indicating no interest in foreign filing.			Yes/Yes
✓		2003-0028		12/4/2005	1/4/2006			Pay Issue Fee and Publication Fee. Reviewing Examiner's interview summary. Continuation already filed. Ack'd YES 2003027936			yes/yes
✓		2002-0040-CON-1		12/20/2005	12/20/2005			Response to file corrected app. Papers/request for Corr. Filing Receipt. PC Ack'd - Yes 2002024885			yes/ yes
✓		2002-0040-CON		12/5/2005	12/28/2005			Prepare Response to Notice to File Corrected Appln. Papers (i.e. Dwgs. not acceptable) and file Request for Corrected filing Receipt to change Docket No. PC Ack'd - Yes 2002024885			yes/yes



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No.	Atty	TMI Docker No.	Client/Matter No.	Callup Date	Due Date	Docketed?		Comments	Rating	7Sam Review	Filed/Reported?
						Pre	Post				
✓	RI	112184-CON-1		12/6/2005	12/20/2005			Prepare Response to Decision on Petition to Withdraw Holding of Abandonment/ Prepare Petition to Revive an Unintentionally Abandoned Application - 12/8/2005. Ack'd Yes 1998005792			Yes/Yes
✓		2001-1251-Japan		12/6/2005	12/23/2005			Fwd. instructions to Otabe to request examination and pay required fees. Ack'd yes 2001021526			yes/yes
✓	RI	2000-0499		12/6/2005	12/27/2005			Prepare Response to Non-final Office Action. Ack'd Yes 2000014688			yes/Yes
✓		2005-0090		12/7/2005	1/14/2006			Prepare Response to Notice to File Missing Parts of Appln and Request for Corrected Filing Receipt and file Authorization to Act. Ack'd - Yes 2005033828			yes/yes
✓	RI	PALM-3588		12/7/2005	12/7/2005			Prepare Response to Office Action due (timely) Ack. Yes			Yes/Yes
		014-0810-PCT		12/8/2005	12/15/2005			Response to Amendment due (i.e. amend claims in PCT). Ack'd YES			yes/yes
✓		2003-0290		12/16/2005	12/16/2005			filed authorization to act. Ack'd Yes 2003030554			yes yes
✓		2003-0290-Japan		12/9/2005	12/9/2005			Check to see if we received Certified Copy of Appln. ordered via fax on 12-1-05 - Ack. Received 12/8/05 Fwd. to client 12/9/05 via Fed. Express 2003030554			Yes/Yes
✓		2003-0290-South Korea		12/9/2005	12/23/2005			Check to see if we received Certified Copy of Appln. ordered via fax on 12-7-05 - Spoke with Mrs. Murray at PTO and she said it was fed-x'd out 12-13-05 - Ack. Yes 12-22-05 fr CCPIt 2003030554			Yes/Yes
✓		2000-0010-CON		12/9/2005	12/23/2005			Response to Non-Final Office Action and a Terminal Disclaimer. Ack'd High S. Yes 2000011422			yes/ Yes

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No.	Atty	TMI Docker No.	Client/Matter No.	Callup Date	Due Date	Docketed?		Comments	Rating	7Sam Review	Filed/Reported?
						Pre	Post				
✓		2002-0005		12/9/2005	12/17/2005			Response to Restriction Requirement - <b>change-cor-address. Ack'd Yes</b> 2002024416			yes/ Yes
✓	RI	2000-0600D		12/12/2005	12/22/2005			Prepare Response to Final Office Action (3-month date). Ack'd Yes 2000017528	High S.		yes/ Yes
✓		2001-0330-Japan		12/12/2005	2/7/2006			Final instructions to Okabe to request exam and pay required fees ASAP per AT&T instruction. Ack'd YES 2001021397			Yes/yes
✓		2002-0281		12/12/2005	12/25/2005			RCE Filed. Ack'd Yes; Separate Ext. of Time filed on same day - Dec. 19 Ack'd Yes 2002027043			yes/ Yes
✓		2001-0330		12/12/2005	12/18/2005			Response to PTO Comm. dtd. 11-18-05 re incomplete reply filed on 9-15-05. PTO error. Ack'd - Yes 2001021397			yes/Yes
✓	RI	PALM 3693-CON		12/13/2005	12/20/2005			Response to Non-Final Office Action. Ack'd Yes			yes/Yes
✓	RI	1999-0368-CIP-1		12/13/2005	12/20/2005			Response to Final Office Action due (Actual due date 1-20) - Filed on 12/19/2005 via fax 1999010170	Low S.		Yes/Yes
✓		111853-Japan-Div.		12/14/2005	1/19/2006			Prepare set of claims for Japan Divisional application due by January 19, 2006 which is non-extendable. Ack'd Yes 1999005545			yes/yes
✓	RI	PALM-3575		12/17/2005	12/17/2005			Attend to Examiner's phone call, review specification and last amendment, discuss claims 1 and 12 with examiner and propose amendment, review examiner's amendment and contact examiner to accept examiner's amendment. Check Notice of Allowance and Examiner's Amendment per Berry request			N/A/Yes
✓	RI	PALM-3575			12/27/2005						Yes/Yes
✓	JS	PALM 3689		12/15/2005	12/31/2005 (1X)			Response to Office Action (NF) (1X) Ack'd - Yes			Yes/Yes

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No.	Atty	TMI Docket No.	Client/Matter No.	Callup Date	Due Date	Docketed?		Comments	Rating	7Sam Review	Filed/Reported?
						Pre	Post				
✓		1999-0679		12/16/2005	12/20/2005			Pay Issue Fee (Per AT&T pay by end of year and do not file Continuation - Act. due date 1-20-06). Ack'd YES 20020205379			yes/yes
✓		2003-0087-Japan		12/19/2005	1/9/2006			Check on status of receipt of certified copy ordered 12/8/05 Called PTO on 12-20-05 and was informed that it had been mailed out 12-19-05 Fwd. to Client on 12-22-05 via express mail - Ack. Yes 2003032870			Yes/yes
✓		1999-0394A-CON		12/19/2005	12/30/2005			Pay Issue Fee. Ack'd YES 1999010272			yes/yes
✓		1999-0394A-CON-1		12/19/2005	12/30/2005			File Continuation. Ack'd YES	Gold		yes/yes
✓		2004-0558		12/19/2005	12/26/2005			Response to Notice to File Missing Parts: filed docs, assignments, poa, change corr. Address: PC ack'd - Yes 2005033828			yes/ Yes
✓	RI	2000-0600H		12/23/2005	1/7/2006			Response to Office Action (NF) due. Ack'd YES	High S.		yes/yes
✓		2004-0101-EPO		12/20/2005	1/5/2006			Prepare foreign filing in EPO (designate GB, France, Germany)- Ack'd YES (I think Matthew Mitchell used 2004-0100 in his return message) - resent 2/23/2006 - for confirmation. 2004032162			yes/yes
✓		2004-0101-Can		12/20/2005	1/5/2006			Prepare for foreign filing in Canada. Ack'd - Yes 2004032162			yes/yes
✓		2004-0101		12/20/2005	1/5/2006			Petition to Rescind Non-Pub Req. for US application Ack'd Yes 2004032162			yes/ Yes
✓		2004-0102-EPO		12/20/2005	1/5/2006			Prepare foreign filing in EPO (designate GB, France, Germany). Ack'd YES 2004032871			yes/yes
✓		2004-0102-Can		12/20/2005	1/5/2006			Prepare for foreign filing in Canada. Ack'd - Yes 2004032871			yes/yes
✓		2004-0102		12/20/2005	1/5/2006			Petition to Rescind Non-Pub Req. for US application. Ack'd Yes 2004032871			yes/yes

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No.	Atty	TMI Docket No.	Client/Matter No.	Callup Date	Due Date	Docketed?		Comments	Rating	7Sam Review	Filed/Reported?
						Pre	Post				
✓		2004-0107-EPO		12/20/2005	1/5/2006			Prepare foreign filing in EPO (designate GB, France, Germany), Ack'd Yes 2004032443			yes/yes
✓		2004-0107-Can		12/20/2005	1/5/2006			Prepare for foreign filing in Canada, Ack'd Yes 2004032443			yes/yes
✓		2004-0107		12/20/2005	1/5/2006			Petition to Rescind Non-Pub Req. for US application, Ack'd Yes 2004032443			yes/ Yes
✓		2004-0125-EPO		12/20/2005	1/5/2006			Prepare foreign filing in EPO (designate GB, France, Germany), Ack'd Yes 2004032406			yes/yes
✓		2004-0125-Can		12/20/2005	1/5/2006			Prepare for foreign filing in Canada, Ack'd Yes 2004032406			yes/yes
✓		2004-0125		12/20/2005	1/5/2006			Petition to Rescind Non-Pub Req. for US application, Ack'd Yes 2004032406			yes/Yes
✓	RI	2000-0026		12/20/2005	1/1/2006			Respond To Advisory Action/RCE with 3 month EOT, Ack'd Yes 2000011570			yes/Yes
✓		2002-0370-Can		12/30/2005	1/7/2006			Prepare and fwd request for foreign filing in Canada, Ack'd - Yes 200007426			yes/yes
✓		2002-0370		12/30/2005	1/7/2006			Rescind Non-Pub Request w/USPTO for US application, Ack'd Yes 200007426			yes/ Yes
✓		2001-0523		12/30/2005	1/7/2006			File Rescission of Non-Pub. Request for USPTO, Ack'd Yes 2001023784			yes/ Yes
✓		2001-0523-Can		12/30/2005	1/7/2006			Prepare and forward request for foreign filing in Canada based on U.S. appln. Designate GB, France, Germany, Ack'd - Yes 2001023784			yes/yes
✓		2001-0523-EPO		12/30/2005	1/7/2006			Prepare and forward request for foreign filing in EPO based on U.S. appln. Designate GB, France, Germany, Ack'd Yes 2001023784			yes/yes
✓	RI	PALM 3504		1/2/2006	1/9/2006			Response to Final Office Action (2 month due date), Ack'd YES			yes/Yes
✓		2003-0100-Can		1/6/2006	1/14/2006			Prepare and fwd request for foreign filing in Canada, Ack'd - Yes 2003028573			yes/yes
✓		2003-0100		1/6/2006	1/14/2006			Rescind Non-Pub Request w/USPTO for US application, Ack'd Yes 2003028573			yes/Yes

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No.	Atty	TMI Docker No.	Client Mailer No.	Callup Date	Due Date	Docketed?		Comments	Rating	7Sam Review	Filed/Reported?
						Pre	Post				
		2003-0028-China		12/20/2005	12/20/2005			Ordering and express mailing priority document to china. Ack'd Yes 2003027936			yes/yes

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Oct-Dec 2006

No.	Atty	IISP Docket No.	Client Matter No.	Callup Date	Due Date	Comments	Rating	Filed/Rep	Invoiced/Billed
√		2001-0264-CON		02/21/06	03/07/06	Prepare and file Continuation Appln. to pursue allowance of the remaining rejected claims. WAIT ON THIS - I didn't just take the allowed claims but made arguments - hold off to see if we get an allowance - and if a final is received, then amend the claims and file continuation. 3-20-2006 TOM: FINAL Office Action dated 5/5/06-Response filed on 7/5/06. Should we file a continuation application? <b>2001-0264-CON filed 10/26/2006</b>	High S.	na	na
✓	TI	010-0016-PCT		07/25/06	08/28/06	Preliminary Examination Demand (Actual due date 9/8/06)		yes	ExamFee \$600 Handling fee \$155
✓	TI	010-0036-PCT		07/09/06	08/28/06	Preliminary Examination Demand (19-month - 9/9/2006) Chapter II filed 9/7/06		yes	ExamFee \$600 Handling fee \$155
✓	TI	010-exporter		08/30/06	08/30/06	Express mail letter to Office of Exporter Services		na	postage \$14.40
✓	TI	113344Con-2		08/16/06	08/30/06	Prepare and file a continuation application for other claims. (D. Gibbon et al, LP#1998007221)	High S.	Not filing yet per TMI on 8/30/06	
✓	TI	1999-0389-Con-1		08/22/06	09/05/06	Prepare and file a continuation application for other claims. (L. Check et al, LP#1999010267)	Gold	Not filing yet per TMI on 9/5/06	
✓	TI	010-0011-PCT-IN		09/11/06	09/11/06	Instruction sent to De Penning to enter National Phase in Indian Patent Office. Ack'd YES 12-5-06		yes/yes	TI: n/c
✓	TI	010-0011E-PCT-Canada		09/12/06	09/12/06	Instruction sent to KEGB to enter National Phase in Canadian Patent Office (due 12/13/06) <b>Ack'd. YES 12/4/06</b>		yes/	TI: n/c
✓	TI	010-0011E-PCT-EPO		09/12/06	09/12/06	Instruction sent to Marks & Clerk to enter National Phase in European Patent Office (due 12/13/06) <b>Ack'd YES 12/5/06</b>		yes/	TI: n/c
✓	TI	010-0011E-PCT-IN		09/12/06	09/12/06	Instruction sent to De Penning to enter National Phase in Indian Patent Office (due 12/13/06)		yes/	TI: n/c
✓	TI	2003-0067-EPO		09/08/06	09/22/06	Forward instruction to agent by 9/22/06 to prepare and file a response due 10/7/06. Ack'd YES. Response filed 12/14/06 by M&C. (App#05270047.3, AT&T, LP#2003028270)	High S.	yes/yes	#1723 TI: 3 hrs
✓	TI	2001-0330-Canada		09/11/06	09/24/06	Forward instruction to Kirby to file a response due 10/24/06 (Non-extendible date). Ack'd YES Canada Appl.#2,418,234 LP#2001022023	High S.	yes/yes	#1724 TI: \$1,500

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No.	Atty	IISP Docket No.	Client Matter No.	Callup Date	Due Date	Comments	Rating	Filed/Rep	Invoiced/Billed
✓	TI	2001-0415C-Div		09/11/06	09/25/06	Prepare and file a Divisional Application for Series I. Not filing per Tom. (App# LP#2001022786)	High S.	na	na
✓	TI	2003-0028-China		09/20/06	09/26/06	Fwd instruction to agent to amend the application before the due date (10/14/06). Ack'd YES		yes/yes	#1725 TI: \$500 (2hrs)
✓	TI	2004-0107-EPO		09/12/06	09/26/06	Forward instruction to M&C to request for examination and pay fee (Actual due date 1/12/07) AT&T decided <b>NOT</b> to pursue filing an application in Hong Kong per J. Etechells 8-30-06. 10/18/06 - AT&T wishes to validate this EPO patent in France, Germany & GB. Please instruct our agent to pay the fees and request examination by the January 12, 2007 due date. (App#06100063.4. AT&T, LP#2004032443		yes/yes	#1726 TI: \$700
✓	TI	112041-EPO		09/14/06	09/28/06	Forward instruction to Marks & Clerk to record this application in Hong Kong and pay the required fee. Ack'd YES (App#98304083.3. AT&T, LP#1998005651)		yes/yes	#1727 TI: \$150
✓	TI	2004-0102-EPO		09/14/06	09/28/06	Please instruct M&C to make the format corrections indicated. However, since we have not yet received an Office Action in the US, please do not amend the EPO claims. 10/18/06 - AT&T wishes to validate this EPO patent in France, Germany and GB. Please instruct our agent to pay the fees and request examination by the January 12, 2007 due date. (App#06100070.9. AT&T, LP#2004032871)		yes/yes	#1728 TI: \$150
✓	TI	2004-0125-EPO		09/14/06	09/28/06	Please instruct M&C to make the format corrections indicated. However, since we have not yet received an Office Action in the US, please do not amend the EPO claims. 10/18/06 - AT&T wishes to validate this EPO patent in France, Germany and GB. Please instruct our agent to pay the fees and request examination by the January 12, 2007 due date. (App#06100060.0. AT&T, LP#2004032406)		yes/yes	#1797 TI: \$150
✓	TI	2002-0242-EPO		09/15/06	09/28/06	Forward instruction to M&C to file a response to Office Action due 10/28/06. Ask Tom: French & German translation? (App#04 100 062.1-2414, AT&T, LP#2002026837)	Low Silver	yes/yes	#1729 TI: \$200
✓	TI	112592A-Can		09/22/06	09/29/06	Forward instruction to K&EGB to pay grant fees and take all necessary steps to bring this to issue. Also, request 1 advance order copy of the patent. (App#2.242.867, AT&T, LP#1998006190)		yes/yes	#1730 TI: \$150

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No.	Atty	IISP Docket No.	Client Matter No.	Callup Date	Due Date	Comments	Rating	Filed/Rep	Invoiced/Billed
✓	TI	2000-0056-EPO		09/25/06	10/01/06	Forward instruction to M&C to file a response due by 10/13/06. Ack'd YES	High S.	yes/yes	#1731 TI: 3.50hrs
✓	RI	PALM-3599		09/25/06	10/02/06	File Appeal Brief. (Quy - The \$500 was charged to RI credit card.) Reimbursed 11/15/06 ck#1963		yes/yes	RI: 8.8 hrs PTOAppBrf Fee \$500 Paid CK#1963
✓	RP	036-0014-CON		09/29/06	10/04/06	Preparing and filing continuation application, application transmittal, 3.73(b) statement, Information Disclosure Statements, multiple PTO-1449s, and assignment documents, along with the Declaration, and Power of Attorney from the parent application in the U.S. Patent and Trademark Office; drafting and forwarding reporting e-mail to client		yes/yes	#1776 RP: \$2,400 Filingfee \$1,000
✓	JS	PALM-3510		08/30/06	10/04/06	Prepare and file a Petition to Revoke and respond to Office Action (Actual due date 10/4/06)		yes/yes	JS: 2 hrs TI: 6.8 hrs p.revive:\$1500 RCE: \$790
✓	TI	111732-Japan		10/05/06	10/05/06	Reviewing claim set and proposal course of action from foreign agent, forwarding instructions to foreign agent and reporting to AT&T (App#521763/1998, AT&T, LP#1998005424)		yes/yes	#1732 TI: \$150
✓	TI	2001-0489		09/21/06	10/05/06	Prepare and pay issue and publication fees and request 1 advance order copy of the patent (Actual due date 10/12/06) (app#10/269,502, A. Abella, LP# 2001023578) (For Billing: \$450 prepare and pay issue fee and preparing Comments on Statement of Reason for Allowance)		yes/yes	#1733 TI: \$450 issue \$1,400 pub \$300 xtracopy \$3
✓	TI	2001-0489Con		09/21/06	10/05/06	Preprepare and file a Continuation Application if warranted by the disclosure. Please let Lauren know if a Continuation will be filed. (App#11/548,899 . A. Abella, LP#2001023578)		yes/yes	#1734 TI: \$2,000 pto \$1,000
✓	TI	2001-0489A		09/21/06	10/05/06	Prepare and pay issue fee and request 1 advance order copy of the patent (Actual due date 10/12/06) (app#10/269,449, A. Abella, LP# 2001023578) (For Billing: \$450 prepare and pay issue fee and preparing Comments on Statement of Reason for Allowance)		yes/yes	#1735 TI: \$450 issue \$1,400 xtracopy \$3



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No.	Atty	IISP Docket No.	Client Matter No.	Callup Date	Due Date	Comments	Rating	Filed/Rep	Invoiced/Billed
✓	TI	2001-0489A-Con		09/21/06	10/05/06	Prepare and file a Continuation Application if warranted by the disclosure. Please let Lauren know if a Continuation will be filed. (App# 11/031,386, P. Dragosh, LP#1998005545)		na	na
✓	TI	111853Con-3		09/21/06	10/05/06	Prepare and pay issue and publication fee, please request for one advance order copy of the patent. (Actual due date 10/12/06) (App#11/031,386, P. Dragosh, LP#1998005545)		yes/yes	#1736 TI: \$350 IssFee \$1,400 PubFee \$300 1Xcopy \$3
✓	TI	111853Con-4		09/21/06	10/05/06	Prepare and file a continuation application due 10/12/06 (App#11/548,882, P. Dragosh, LP#1998005545)		yes/yes	#1737 TI: \$2,000 PTO: \$1,000 1XIndCim \$200
✓	TI	2002-0193		09/22/06	10/06/06	Prepare and pay issue and publication fee, please request for one advance order copy of the patent. (Actual due date 10/13/06) (App#10/329,139, A. Gorin, LP#2002026595)		yes/yes	#1738 TI: \$450 pto: \$1,400 Xtracopy \$3
✓	TI	2002-0193Con		09/22/06	10/06/06	Prepare and file a continuation application due 10/13/06 (App#11/549,395, A. Gorin, LP#2002026595)	High S.	yes/yes	#1739 TI: \$2,000 pto: \$1,000
✓	TI	2002-0082		09/22/06	10/06/06	Prepare and pay the issue fee by the due date of 10/13/06 and request 1 advance copy of the patent. (App#10/329,181, F. Strom, LP#2002025379)	High S.	yes/yes	#1740 TI: \$350 IssFee \$1,400 1XCopy \$3
✓	TI	2002-0082Con		09/22/06	10/06/06	Prepare and file a continuation application due 10/13/06 (App#11/549,412, F. Strom, LP#2002025379)	High S.	yes/yes	#1741 TI: \$2,000 pto: \$1,000
✓	TI	PALM-3538		09/22/06	10/6/2006 (1X)	Prepare and file an RCE with 1-month extension fee (due 10/13/06).		yes/yes	TI: 2 hrs Paid CK#2033
✓	TI	105151-Div-Japan		10/04/06	10/06/06	Per AT&T instruction, please confirm that our agent has paid the fees for this application that are due on 10/11/06. Yes, fee was paid on 10/4/06. (App#2001-333934, AT&T, LP#1998004652)		yes/yes	#1742 TI: \$100
✓	TI	2001-0370		09/22/06	10/07/06	Prepare and file a response to Office Action (NF) due (appl#10/086,102, P. Howard, LP#2001022431)	High S.	yes/yes	#1743 TI: \$2,000
✓	TI	010-0016-PCT		09/25/06	10/08/06	Foreign/Counterpart Filing Deadline (Actual due date 11/8/06) (Provisional filed 11/8/04; PCT filed 11/9/05)		n/a	n/a

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No.	Atty	IISP Docket No.	Client Matter No.	Callup Date	Due Date	Comments	Rating	Filed/Rep	Invoiced/Billed
✓	TI	111853Dw-1 Mexico		10/06/06	10/10/06	Forward instruction to agent ASAP to file the response due 10/19/06. An Extension of Time is authorized, if necessary. (App#2003/000957, AT&T, LP#1998005545)	High S.	yes/yes	#1744 TI: \$300
✓	TI	2004-0101-EPO		09/26/06	10/10/06	Please instruct M&C to make the format corrections indicated. However, since we have not yet received an Office Action in the US, please do not amend the EPO claims. 10/18/06 - AT&T wishes to validate this EPO patent in France, Germany and GB. Please instruct our agent to pay the fees and request examination by the January 12, 2007 due date. (App#06100067.5, AT&T, LP#2004032162)		yes/yes	#1843 TI: \$150
✓	RI	PALM-3588		09/26/06	10/10/06	Prepare and file a response to FINAL Office Action (3-month due date 11/10/06)		yes/yes	RI: 7.7 \$1,375 TI: 1.1 \$198
✓	TI	1999-0104A-Con		10/05/06	10/12/06	Prepare and file a response to Office Action (NF). In response, restrict claims to sub combination of series I and II and file a divisional application for Series I. An extension of Time is authorized, if necessary. (app#10/811,662, J. Johnston, LP#1999009831)	Gold	yes/yes	#1745 TI: \$700
✓	TI	1999-0104A-Con-1		10/05/06	10/12/06	Prepare and file a divisional application for Series I. (app#11/548,833, J. Johnston, LP#1999009831)	Gold	yes/yes	#1746 TI: \$2,000 PTO: \$1,000
✓	TI	113256CIP		09/29/06	10/13/06 (1X)	Prepare and file a response to Office Action (NF) along with Authorization to Act and POA with one-month extension fees. Please associate this patent application with AT&T customer #26652. (App#10/260,294, S. Bangalore et al, LP#1998006955)	Low Silver	yes/yes	#1747 TI: \$2,000 Pto:EOT \$120
✓	RI	2001-0343		09/29/06	10/14/06	Prepare and file a response to Office Action (NF) due (App#10/108,727, J. Helfman, LP#2001022223)	High S.	yes/yes	#1748 RI: \$2,000
✓	RI	1999-0522		10/16/06	10/16/06	File a Notice of Appeal/RCE if we do not received an Office Action (5-month call-up, FOA dated 5/16/06) <b>RCE filed 8/13/06</b> (As of 10/10/06, AT&T has not received an Office Action)		n/a	n/a
✓	TI	2001-0161C-Con		10/03/06	10/17/06	Prepare and file a response to Office Action (NF). Terminal Disclaimer is required. (App#11/196,122, A. Puri, LP#2001020652)	Gold	yes/yes	#1749 TI: \$1,000 Ter Dis \$130

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No.	Atty	IISP Docket No.	Client Matter No.	Callup Date	Due Date	Comments	Rating	Filed/Rep	Invoiced/Billed
✓	TI	2001-0251		10/17/06	10/17/06	Examiner interview to approve of an Examiner's Amendment to correct a typographical error. (App#10/199,220, M. Mohr, LP#2001021526)	Gold	na	#1750 TI: \$100
✓	TI	113351		10/17/06	10/17/06	Reviewing application, claims and prosecution history - discussion with Henry Brenzel. (App#09/770,890, D Gerakoulis, LP#1999007236)		na	#1751 TI: \$312.50 (1.25 hrs)
✓	TI	111775-Japan		10/17/06	10/17/06	Fwd Prosecution history needed in order to apply for expedited examination per agent's request on 10/12/06. Ack'd YES (App#Hei 9-257156, AT&T, LP#1998005467)		na	#1752 TI: \$700
✓	JS	PALM-3589		10/04/06	10/18/06	Prepare and file a response to Notice of Non-Compliant dated 9/18/06		yes/yes	JS: n/c
✓	TI	2001-0330		10/09/06	10/18/06	Prepare and file a response to FINAL Office Action (3-month due date 11/18/06) (App#10/067,851, R. Cox, LP#2001021397)	Low Silver	yes/yes	#1753 TI: \$2,000
✓	TI	2003-0107		10/04/06	10/18/06	Prepare and file a response to Office Action (NF) with Authorization to Act and POA. Please review all cited art and cite as appropriate (Canada and EPO cited) (App#10/782,183, T. Dasu et al, LP#2003028607)	Low Silver	yes/yes	#1754 TI: \$2,000
✓	TI	2003-0107-Can		10/04/06	10/18/06	IDS using 2003-0107 OA dated 7/18/06. Ack'd YES (App#2496851, AT&T, LP#2003028607)		yes/yes	#1798 TI: \$150
✓	TI	2003-0107-EPO		10/04/06	10/18/06	IDS using 2003-0107 OA dated 7/18/06 (App#05101089_0, AT&T, LP#2003028607)		yes/yes	#1799 TI: \$150
✓	TI	113030Con		10/05/06	10/19/06	Prepare and file a response to Office Action (NF) due (App#10/662,831, Haskell et al, LP#1999006637)	Gold	yes/yes	#1755 TI: \$2,000
✓	TI	2001-0264		10/05/06	10/19/06	Pay issue and pub fees (due 10/26/06) (App#10/107,083, J. Johnston, LP#2001021607)		yes/yes	#1756 TI: \$350 IssFee \$1,400 1Xcopy \$3
✓	TI	2001-0264Con		10/05/06	10/19/06	Prepare and file a continuation application if warranted by the disclosure. (App#11/553,133, J. Johnston, LP#2001021607)		yes/yes	#1757 TI: \$2,000 PTO \$1,000 4Xind \$800 9Xclms \$450
✓	RP	041-0004		10/19/06	10/19/06	Prepare and file the Application Data Sheet		yes/yes	n/a
✓	RP	041-0005		10/19/06	10/19/06	Prepare and file the Application Data Sheet		yes/yes	n/a
✓	TI	112184 Con-1		10/20/06	10/20/06	Provisional correction on patent per Tom (Certificate of Correction). (App#10/141,607, B. Haskell et al, LP#1998005792)		yes/yes	#1800 TI: \$500 PTO \$100

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No.	Atty	IISP Docket No.	Client Matter No.	Callup Date	Due Date	Comments	Rating	Filed/Rep	Invoiced/Billed
✓	RI	2000-0600		10/06/06	10/21/06	Prepare and file a response to FINAL Office Action due with RCE <b>(This is the 3-month due date, AT&amp;T sent instruction to us on 9/21/06)</b> (AppL#10/003,094, J. Ostermann, LP#2000017528)	High S.	yes/yes	#1758 RI: \$2,000 pto: \$790
✓	TI	2001-0041		10/06/06	10/21/06	Prepare and file a response to FINAL Office Action (3-month due date 11/21/06). Please forward a copy of the draft to our designated attorney at attngt@weinicklaw.com and contact him to schedule a telephone conference to review the application. (App#10/258,849, M. Walker, LP#2001018687)	High S.	yes/yes	#1759 TI: \$2,000
✓	TI	2001-0041C		10/06/06	10/21/06	Prepare and file a response to FINAL Office Action (3-month due date 11/21/06). Please forward a copy of the draft to our designated attorney at attngt@weinicklaw.com and contact him to schedule a telephone conference to review the application. (App#10/258,776, M. Walker, LP#2001018687)	High S.	yes/yes	#1760 TI: \$2,000 1X ind clm \$200 1X clm \$50
✓	TI	014-0010-PCT		09/21/06	10/21/06	National Stage due in November for this PCT case.(11/21/06) US application already filed.		n/a	n/a
✓	TI	010-0016-PCT		10/09/06	10/22/06	Prepare and file a response to Invitation to Correct Defects in the Demand due (App#PCT/US2005/040669)		yes/yes	n/a
✓	TI	2002-0027-Con		10/20/06	10/23/06	Prepare and file IDS (appl#11/458,282, Eric Cosatto et al, LP#2002024709)		yes/yes	#1761 TI: \$350
✓	RI	PALM-3615		10/23/06	10/23/06	File a Notice of Appeal/RCE if we do not received an Office Action (5-month call-up. FOA dated 6/21/06) Examiner's Amdt received. Rich filed Rule 312 Amdt on 10/23/06. (Hatem Queslati, S.N. 09/811,994) <b>1/29/07: Will send payment next week per Serenna</b>		yes/yes	RI: 1.25hrs
✓	TI	2001-0041A		10/24/06	10/25/06	Prepare and file a response to FINAL Office Action (3-month due date 11/25/06). (App#10/258,851, M. Walker, LP#2001018687)	Low Silver	yes/yes	#1762 TI: \$2,000
✓	TI	2001-0041B		10/24/06	10/25/06	Prepare and file a response to FINAL Office Action (3-month due date 11/25/06). (App#10/258,532, M. Walker, LP#2001018687)	Low Silver	yes/yes	#1763 TI: \$2,000
✓	RI	1999-0522A		10/11/06	10/25/06	Prepare and file a response to Notice of Non-Compliant prior to the deadline. <b>Ready to be filed per Richard 10/13/06.</b> (App#09/650,355, A. Basso, LP#1999010570)		yes/yes	RI: n/c

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✓	JS	0023-0016		09/12/06	10/25/06 (1X)	Prepare and file response to Restriction Requirement with 1-month extension fee (EOT waived). (App#10/772,163, W. SiBBitt, Jr.)		yes/	#1716 JS:\$300 Ext. \$60/(waived)
✓	JS	PALM-2931		09/12/06	10/26/06	Prepare and file a response to FINAL Office Action due (3-month due date 10/26/06)		yes/yes	JS:3.5 hrs TI: 5.3 hrs pto: \$790 <b>Paid</b> <b>CK#2033</b>
✓	RI	2000-0600A-Con		10/13/06	10/27/06	Prepare and file a response to Office Action (NF) due. <b>Ready to be filed per Richard 10/13/06.</b> (App#11/212,267, J. Ostermann, LP#2000017528)	Low Silver	yes/yes	#1764 RI: \$2,000
✓	JS	PALM-3629		10/13/06	10/27/06 (2X)	Appeal Brief due with two-month extension fee		yes/yes	JS:12.50 hrs pto: eot \$450 pto: \$500
✓	TI	113351A-Con		10/13/06	10/27/06	Prepare and file a response to Office Action (NF) due. (App#11/051,378, D. Gerakoulis, LP#1999007236) <b>AT&amp;T requested to send back to AT&amp;T all 113351 family cases 10/2/06</b>	High S.	n/a	n/a
✓	TI	2001-0479Div		10/13/06	10/28/06	Prepare and file a response to Office Action (NF) due. Terminal Disclaimer is required. <b>If PTO charges our deposit account for the IDS, bill AT&amp;T.</b> (App#11/246,607, A. Dumitras, LP#2001023507)	High S.	yes/yes	#1765 TI: \$2,000 TermDis \$130
✓	TI	1999-0368CIP-1		10/13/06	10/28/06	Prepare and file a response to Office Action (NF) due (App#10/456,747, S. Greenspan, LP#1999010170)	High S.	yes/yes	#1766 TI: \$2,000
✓	TI	2000-0491		10/23/06	10/28/06	Prepare and file a response to FINAL Office Action (3-month due date 11/28/06) (App#09/929,563, H. Farah, LP#2000014626)	High S.	yes/yes	#1767 TI: \$2,000
✓	JS	PALM-3598		10/13/06	10/28/06 (1X)	Prepare a response to Office Action (NF) due with one-month extension fee. Request for Reconsideration filed.		yes/yes	JS: 4 hrs TI: 4.8 hrs <b>Paid</b> <b>CK#2033</b>
✓	TI	2003-0030		09/15/06	10/30/06 (1X)	Prepare and file a response to Office Action (NF) with one-month extension fee. (App#10/376,112, A. Ghosh et al, LP#2003027976)	Low Silver	yes/yes	#1768 TI: \$2,000 Ext. \$120
✓	TI	1999-0214-EPO		10/23/06	10/30/06	Prepare and forward instruction to agent to file a response due 11/24/06 (App#01120754.5, AT&T, LP#1999009933)	High S.	yes/yes	#1769 TI:\$1,500

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✓	TI	2000-0606Con		10/17/06	10/31/06	Prepare and file a response to Office Action (NF) due. Terminal Disclaimer is required. (App#11/275,199, B. Atai, LP#2000017632)	High S.	yes/yes	#1770 TI: \$2,000 TermDis \$130
✓	TI	2000-0325		10/30/06	11/01/06	Prepare and file the Change of Correspondence address per AT&T (App#09/992,988, H. Cohen, LP#2000013635)		yes/yes	#1771 TI: \$200
✓	TI	2002-0005		09/28/06	11/01/06	Prepare and file a response to FINAL Office Action (3-month due date 11/1/06) (App#10/237,488, A. Dumitras, LP#2002024416)	High S.	yes/yes	#1801 TI: \$2,000
✓	TI	010-0011-EPO		10/25/06	11/01/06	Prepare a request for examination in conjunction with Art.157(1)EPO (Actual due date 12/13/06) Already done per TMI 11-14-2006.		n/a	n/a
✓	RI	2000-0499		10/18/06	11/01/06	Prepare and file a response to Office Action (NF) due (App#09/972,929, R. Rose, LP#2000014688)	High S.	yes/yes	#1802 RI: \$2,000
✓	TI	2000-0442A		10/26/06	11/2/06 (2X)	Prepare and file response to Advisory Action (RCE) with 2-month extension fee (due 11/9/06). (App#10/192,212, J. O'Neil, LP#2000014331)		yes/yes	#1803 TI: \$2,000 RCE \$790 Ext \$250
✓	TI	112441-Con-1		09/19/06	11/3/06 (1X)	Prepare and file a response to Office Action (NF) with one-month extension fee (App#10/839,368, John Lacy, LP#1998006041)	Low Silver	yes/yes	#1804 TI: 2,000 Ext. 120
✓	TI	2002-0316		10/30/06	11/03/06	Prepare and file a response to Office Action (due 11/6/06) <b>(Under Accelerated Examination) No extension of Time is permitted.</b> (AIP#10/404,479, C. Allauzen, LP#2002027265)	High S.	yes/yes	#1805 DWG: \$65 TI: 2,000
✓	RI	PALM-3599		10/30/06	11/05/06	Prepare and file a response to Notice of Non-Compliant Appeal Brief		yes/yes	no charge per RI
✓	TI	2001-0332		10/30/06	11/06/06	Prepare and file a response to FINAL Office Action (3-month due date 12/6/06) (App#10/188,893, G.Di Fabrizio, LP#2001022042)	High S.	yes/yes	#1806 TI: \$2,000
✓	TI	2001-0283A-Con		10/24/06	11/07/06	Prepare and file a response to Office Action (NF) due. Terminal Disclaimer is required. (App#11/113,463, D. Malah, LP#2001021713) 12-5-06: TD was not paid with credit card. PTO will deduct from our account (TD fee billed AT&T on 12/5/06)	High S.	yes/yes	#1807 TI: \$2,000 TD: \$130
✓	TI	015-0100Prov		10/24/06	11/07/06	Prepare a response to Notice of Omitted Item in a Provisional Application (Fig 4C) No response necessary per TI 11/7/06.		n/a	n/a

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✓	TI	015-0101Prov		10/24/06	11/07/06	Prepare a response to Notice of Omitted Item in a Provisional Application (Fig 4C) No response necessary per TI 11/7/06.		n/a	n/a
✓	TI	2001-0415-EPO		11/06/06	11/07/06	Forward instruction to Modiano to <b>pay fee and add Sweden and Finland</b> to the initially designated Germany, France, and GB (due 12/7/06). Ack'd YES (App#02018127.7, AT&T, LP#2001022786)		yes/yes	#1808 TI: \$150
✓	TI	2001-0415B-EPO		11/06/06	11/07/06	Forward instruction to Modiano to <b>pay fee and add Sweden and Finland</b> to the initially designated Germany, France, and GB (due 12/7/06). Ack'd YES (App#02018126.9, AT&T, LP#2001022786)		yes/yes	#1809 TI: \$150
✓	TI	112041-EPO		11/06/06	11/07/06	Forward instruction to M&C to pay fees and file French and German translations ASAP, so they have time to so before the December 22, 2006 due date. AT&T wishes to validate this application in Germany, French, GB, and Italy. Ack' d YES (App#98304083.3, AT&T, LP#1998005651)	High S.	yes/yes	#1810 TI: \$150
✓	RI	2001-0101		10/24/06	11/07/06	Prepare and file a response to FINAL Office Action with RCE due (3-month due date) (App#09/879,313, J. Hirschberg, LP#2001020360)	Low Silver	yes/yes	#1811 RI: \$2,000 RCE: \$790
✓	RI	2000-0600A-Con		11/10/06	11/10/06	Telephone conference with Examiner, prepare and fax to the Examiner the amendment canceling all but claims 9 and 10, thereby placing this application in condition for allowance. (App#11/212,267, J. Ostermann, LP#2000017528)	Low Silver	yes/yes	#1812 RI: \$375 (1.5 hrs)
✓	TI	PALM-3588		10/27/06	11/10/06	Prepare and file RCE with an Amendment RCE filed 11/13/06		yes/yes	TI: 8.8hrs.
✓	TI	1999-0214		11/03/06	11/10/06	Prepare and file a Certificate of Correction to correct the "DES" in the claims to be "DSE". We will pay the fees per TMI. (App#09/660,031, J. Johnston, LP#1999009933)		yesyes	n/c
✓	TI	1999-0214-Con		11/03/06	11/10/06	Prepare and file a Preliminary Amendment to correct the "DES" in the claims to be "DSE". We will pay the fees per TMI. (App#10/780,383, J. Johnston, LP#1999009933)		yesyes	n/c
✓	TI	PALM-3538		11/13/06	11/13/06	File a Notice of Appeal/RCE if we do not received an Office Action (5-month call-up. FOA dated 6/13/06) <b>Advisory Action rec'd 9/18/06 due 10/13/06 with 1X</b>		na	na

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✓	TI	010-0016-PCT		11/06/06	11/13/06	Prepare and file a response to Written Opinion due (Amendment and Statement under Article 19) (App#PCT/US2005/040669)		yes	na
✓	TI	2005-0620		10/31/06	11/14/06	Prepare and file a response to Notice of Missing parts and Application Data Sheet showing 2 inventors instead of one. <b>Email sent 11-7-06 request for signed papers from Simon Byers and K. Purdy</b> (App#11/467,636, Simon Byers, LP#2005035852)		yes/yes	#1813 TI: \$400 PTO \$130
✓	TI	2005-0620		10/31/06	11/14/06	Prepare and file a Recordation of Assignment. <b>Email sent 11-7-06 request for signed papers from Simon Byers and K. Purdy.</b> (App#11/467,636, Simon Byers, LP#2005035852)		yes/yes	#1814 TI: \$350 PTO \$40
✓	TI	112041-EPO-Div		10/31/06	11/14/06	Prepare and forward instruction to agent to file a response due 12/14/06. Ack'd YES (App#06111526.7, AT&T, LP#1998005651)	High S.	yes/yes	#1815 TI: \$1,300
✓	TI	2001-0415C-EPO		11/07/06	11/14/06	AT&T wishes to validate this EPO patent in France, Germany, GB, Sweden and Finland. Please instruct our agent to pay the examination and designation fees by 12/21/06 due date. <b>Ack'd. YES</b> (App#02018125.1, AT&T, LP#2001022786)		yes/yes	#1844 TI: \$150
✓	TI	2000-0034Con		11/03/06	11/17/06	Prepare and file a response to Office Action (NF) due. Terminal Disclaimer is required. (App#11/195,973, S. Bangalore, LP#2000011580)	High S.	yes/yes	#1816 TI: \$1,000 PTO \$130
✓	TI	2002-0166		11/03/06	11/17/06	Prepare and file a response to Office Action (NF) due. No foreign filings in this case, (App#10/350,727, A. Gorin, LP#2002026348)	High S.	yes/yes	#1817 TI:\$2,000
✓	TI	010-0011E-PCT		10/18/06	12/18/06	National Phase Applications due (Ch1 or Ch2) (12/18/06)		yes/	Express Mail \$14.40
✓	TI	2000-0110		11/13/06	11/20/06	Prepare and pay issue fee (due 11/27/06) and request for advance copy of patent. If new drawings are needed, please arrange with our preferred draftsman. (App#09/712,192, A. Gorin, LP#2000012120)		yes/yes	#1818 TI: \$350 IF 1,400 1Xpat \$3
✓	TI	2000-0110-Con		11/13/06	11/20/06	Prepare and file a continuation application if warranted by the disclosure. Formal drawings can be obtained from our preferred draftsman. Please forward a draft of your proposed claims for a continuation to our designated attorney at attmgt@weinicklaw.com and contact him to schedule a teleconference call to review the application. (App# A. Gorin, LP#2000012120)		yes/yes	#1819 TI: \$2,000 PTO 1,000 2Xclm 100



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✓	RP	036-0018		11/20/06	11/20/06	Sent Letters Patent to Lockheed (Ric Elias)		n/a	Express Mail \$14.40
✓	TI	2001-0041C		11/17/06	11/21/06	Prepare and file a response to AA (RCE with previous Amendment). (#10/258,776, M. Walker, 2001018687)		yes/yes	#1820 TI: \$700 RCE \$790
✓	RI	112310-Con-2		11/09/06	11/23/06	Prepare and file a response to Office Action (NF) (App#11/179,715, E. Haratsch, LP#1998005917)	High S.	yes/yes	#1821 RI: 2,000
✓	TI	2002-0451		11/09/06	11/23/06	Prepare and file a response to Office Action (NF) (App#10/747,847, N. Bruno, LP#2002027563)	Low Silver	yes/yes	#1822 TI: \$2,000
✓	TI	2003-0028-HK		11/09/06	11/23/06	Forward instruction to agent to pay fees and file the Stage 2 application before 12/23/2006 due date. (App#06104003.1, AT&T, LP#2003027936)	High S.	yes/yes	#1845 TI: \$150
✓	TI	PALM-3693-Con		11/09/06	11/23/06	Prepre and file a response to Office Action (NF)		yes/yes	TI: 8hrs.
✓	RP	030-0002		11/10/06	11/24/06	Prepare and file a response to Office Action (NF) due Appin. 10/24,833, G. Colby Conkwright (Invoice #1719 for advance payment of \$2,000. Pymt rec'd 11/21/06)		yes/yes	#1719 RP: \$2,000
✓	TI	2000-0573		11/10/06	11/24/06	Prepare and file a response to Office Action (NF) (App#09/966,081, R. Rose, LP#2000017226)	High S.	yes/yes	#1823 TI: \$2,000
✓	TI	2002-0096		11/10/06	11/25/06	Prepare and file a response to Office Action (NF) with POA and Authorization to Act (Rec'd 10/17/06) (App#10/301,097, Vincent Goffin et al, LP#2002025614)	High S.	yes/yes	#1824 TI: \$2,000
✓	TI	2004-0026-HK		10/23/06	11/27/06	Forward instruction to agent to pay fees and file the Stage 2 application before 12/6/2006 due date. (App#05109474.1, AT&T, LP#20040314611)	High S.	yes/yes	#1825 TI: \$150
✓	RP	036-0015		11/14/06	11/28/06	Prepare and file a response to Non-Final Office Action (7.0 hrs - \$300/hr = \$2,100)		yes/yes	RP: \$2,100
✓	TI	2001-0251-India		11/14/06	11/28/06	Fwd instruction to De Penning to request examination and pay the required fees by 1/3/07 due date. (app#5/MAS/2003, AT&T, lb#2001021526)		yes/yes	#1846 TI: \$150
✓	TI	2001-0161C-Con		11/28/06	11/28/06	11/28/06: Conference with Examiner regarding minor claim changes to obtain allowance of claims; reviewing claims and responding to Examiner. (App#11/196,122, A. Puri, LP#2001020652)		yes/yes	#1826 TI: \$175

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✓	TI	112539-Con		11/14/06	11/28/06	Prepare and file a response to Notice to File Corrected Drawing. (App#11/530,002, D. Brown, LP#1998006138)		yes/yes	#1827 TI: \$350
✓	TI	2001-0251		11/14/06	11/28/06	Prepare and pay the issue and publication fee (due 12/5/06) (App#10/199,220, M. Mohri, LP#2001021526)		yes/yes	#1847 TI: \$450 issFee\$1400 PubFee \$300 Xpatcopy \$3
✓	TI	2001-0251-Con		11/14/06	11/28/06	Prepare and file a continuation if warranted by the disclosure (due 12/5/06) (11/566,945, M. Mohri, LP#2001021526)		yes/yes	#1848 TI: \$2,000 PTO 1,000 1Xclm 50
✓	JS	PALM-3816		11/14/06	11/28/06	Prepare and file a response to FINAL Office Action (3-month due date) Charged 2 hrs (NOA). <b>\$500 need to be reimbursed by Palm Source? YES</b>		yes/yes	JS: \$250 TI: \$107 PTO \$500
✓	TI	2001-0370		11/28/06	11/28/06	Prepare and file an amendment cancelling claims 13 and 17 pursuant to a telephone conference with Examiner Bayat. (App#10/086,102, P. Howard, LP#2001022431)		yes/yes	#1828 TI: \$600
✓	TI	112183-Con-1		11/09/06	11/29/06	Prepare and file a Notice of Appeal before 11/30/06 due date per AT&T (FOA dated 8/30/06) (App#10/664,985, B. Haskell, LP#1998005791)	Gold	yes/yes	#1829 TI: \$200 PTO \$500
✓	TI	2001-0251A		11/15/06	11/29/06	Prepare and file a response to Office Action (NF) (App#10/199,227, M. Mohri, LP#2001021526)	High S.	yes/yes	#1830 TI: \$2,000
✓	TI	2000-0452		11/15/06	11/29/06	Prepare and file a response to FINAL Office Action (3-month due date 12/29/06) (App#09/882,036, Baldine-Brunel Paul, LP#2000014374)	High S.	yes/yes	#1831 TI: 2,000
✓	TI	2000-0452		12/04/06	12/04/06	Prepare and file Supplemental Response to FINAL Office Action (App#09/882,036, Baldine-Brunel Paul, LP#2000014374)		yes/yes	n/a
✓	TI	2001-0370		11/29/06	11/29/06	Industry standards publications purchased for study in connection with this case. appl#10/086,102, P. Howard, LP#2001022431			#1832 \$22 \$20 \$73
	TI	2005-0405		11/29/06	11/29/06	Reviewing UBC agreement terms, drafting revised terms for joint ownership agreement of patent application; discussions with Tom Restaino regarding the same. (11/290,789, H. Jagadish, #2005035176)		yes/yes	#1833 TI: \$937.5 (3.75 hrs)

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✓	TI	111775-EPO-Div-1		11/16/06	11/30/06	AT&T wishes to validate this EPO patent in France, Germany, GB, Finland & Sweden. Please instruct our agent to pay the fees and request examination by the 2/9/2007 due date. Also, please instruction M&C to take all necessary steps to record this application in Hong Kong and pay the required fees <b>Ack'd YES</b> (App#06113724.6, AT&T, LP#1998005467)		yes/yes	#1849 TI: \$150
✓	TI	111775-EPO-Div-3		11/16/06	11/30/06	AT&T wishes to validate this EPO patent in France, Germany, GB, Finland & Sweden. Please instruct our agent to pay the fees and request examination by the 2/9/2007 due date. Also, please instruction M&C to take all necessary steps to record this application in Hong Kong and pay the required fees. <b>Ack'd YES</b> (App#06113727.9, AT&T, LP#1998005467)		yes/yes	#1850 TI: \$150
✓	TI	2004-0026		11/16/06	11/30/06	File IDS with references from EP Search Report (App#11/033,902, Hakkani-Tur, LP#2004031461)		yes/yes	#1834 TI: \$400 IDS fee \$180
✓	TI	2004-0026-CA		11/16/06	11/30/06	Send EP Search Report requesting reference be cited in CA application <b>ACK'D. yes 11/27/06</b> (Appin#2,498,015, Hakkani-Tur, LP#2004031461)		yes/yes	#1835 TI: \$150
✓	TI	2002-0005		11/30/06	12/1/06 (1X)	Prepare and file a response to Advisory Action <b>(RCE) with 1-month extension fee</b> (App#10/237,488, A. Dumitras, LP#2002024416)		yes/yes	#1836 TI: \$500 Ext: \$120 RCE: \$790
✓	TI	2002-0063		11/17/06	12/01/06	Prepare and file a response to Office Action (NF) No foreign filings. (App#10/288,764, S. Bangalore, LP#2002025281)	High S.	yes/yes	#1837 TI: \$2,000
✓	TI	2001-0264A-Con		11/20/06	12/03/06	Prepare and file a response to Notice of Missing Parts to pay for two additional independent claims. (App#11/531,083, J. Johnston, LP#2001021607)		yes/yes	#1838 TI: \$350 2Xclms \$400
✓	TI	111853		12/04/06	12/04/06	Prepare and File Change of Correspondence Address Form Pat.No. 6,078,886, David ROE et al., LP#1998005545		yes/yes	#1839 TI: \$200
✓	TI	1999-0679A		12/04/06	12/04/06	Prepare and File Change of Correspondence Address Form Pat.No. 6,681,206, Allen GORIN et al., LP#1999010962		yes/yes	#1840 TI: \$200

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✓	RI	2001-0105		12/04/06	12/04/06	Telephone conference with Examiner Pierre. reviewing the claims and authorizing the Examiner amendment to cancel claims 9 - 12. (App#10/091,689, B. Gakoc, LP#2001020407)		yes/yes	#1841 RI: \$62.50 (15 minutes)
✓	RP	036-0005		11/21/06	12/05/06	Prepare and file a response to FINAL Office Action (3-month due date 1/5/2007) (App#09/584,115, John Lontos)		Ron's	Ron's
✓	TI	2001-0330-Con		11/21/06	12/05/06	Prepare and file a response to Office Action (NF) (App#11/235,818, R. Cox, LP#2001021397)	High S.	yes/yes	#1851 TI: \$2,000
✓	TI	2003-0028-Con		11/22/06	12/06/06	Prepare and file a response to Office Action (NF) Terminal Disclaimer is required. (App#11/227,047, H. Schroeter, LP#2003027936)	High S.	yes/yes	#1852 TI: \$2,000 TD \$130
✓	TI	2003-0028-Con		11/22/06	12/06/06	Prepare and file a request for corrected filing receipt correcting inventor's last name. (App#11/227,047, H. Schroeter, LP#2003027936)	High S.	yes/yes	#1853 TI: \$250
✓	TI	2000-0110A-Con		11/22/06	12/06/06	Prepare and file a response to Office Action (NF) due (App#11/169,547, A. Gorin, LP#2000012120)	Low Silver	yes/yes	#1854 TI: \$2,000
✓	TI	2000-0042-Con-1		11/22/06	12/06/06	Prepare and file a response to Office Action (NF), Terminal Disclaimer is required. (App#11/276,423, E. Cosatto, LP#2000011655)	High S.	yes/yes	#1855 TI: \$2,000 TDs: \$260
✓	TI	2001-0415B		12/07/06	12/07/06	Phone call with Examiner, checking on Status of case and reporting to Examiner. (10/216,448, M.Johnston, #2001022786)		yes/yes	#1856 TI: \$62.50 (15 mins)
✓	TI	2001-0415C-Con		12/05/06	12/07/06	Fwd instruction to agent to file a response due 12/27/06. Ack'd YES (2,397,703, AT&T, #2001022786)	High S.	yes/yes	#1857 TI: \$1,200
✓	TI	111775-Con-2		12/14/06	12/07/06	Revise the pending US case based on the European claims per TI. (#11/108,401, B.G. Haskell, #1998005467)		yes/yes	#1858 TI: \$2,000 pto: \$600 (3Ind.clms)
✓	TI	111775-Con-3		12/14/06	12/07/06	Revise the pending US case based on the European claims per TI. (#11/169,539, B.G. Haskell, #1998005467)		yes/yes	#1859 TI: \$2,000 pto: \$1,000 (2Ind.clms 12extra clms)
✓	TI	111775-Con-4		12/14/06	12/07/06	Revise the pending US case based on the European claims per TI. (#11/216,195, B.G. Haskell, #1998005467)		yes/yes	#1860 TI: \$2,000

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✓	TI	2001-0370		12/07/06	12/07/06	12/7/06: Reviewing case, approving examiner amendment to obtain allowance of application. (appl#10/086, 102, P. Howard, LP#2001022431)		yes/yes	#1861 TI: \$83 (20 mins)
✓	TI	2005-0071-EPO		07/05/06	11/17/06	Order Certified copy of US application and send to Marks & Clerk. FedX Nov 17, 2006 \$50.63 sent with 2005-0237A-EPO (06270075.2, AT&T, #2005034177)		yes/yes	#1862 TI: \$150 pto: \$20 FedX \$25.32
✓	TI	2005-0237-EPO		07/10/06	12/07/06	Order Certified copy of US application and <b>provisional application</b> and send to M&C. FedX 12/7/06 \$50.18 sent with 2004-0440A-EPO (06119180.5, AT&T, #2005034581)		yes/yes	#1863 TI: \$150 pto: \$40 FedX \$25.09
✓	TI	2005-0237A-EPO		07/10/06	11/17/06	Order Certified copy of US application and send to Marks & Clerk. (06119180.5, AT&T, #2005034581)		yes/yes	#1864 TI: \$150 pto: \$20 FedX \$25.31
✓	TI	2004-0440A-EPO		07/19/06	12/07/06	Order Certified copy of US application and send to Marks & Clerk. FedX 12/7/06 \$50.18 sent with 2005-0237-EPO (06119483.3, AT&T, #2004033496)		yes/yes	#1865 TI: \$150 pto: \$20 FedX \$25.09
✓	TI	2001-0479Div		10/13/06	10/28/06	If PTO charges our deposit account for the IDS, bill AT&T. <b>PTO charged \$180 for IDS on 11/2/06</b> (App#11/246,607, A. Dumitras, LP#2001023507)	High S.	yes/yes	#1866 pto: \$180
✓	TI	111853Div-1 Mexico		12/07/06	12/08/06	Fwd instruction to Mexican Agent to pay the grant and annuity fees due on or before 12/20/06. Please remind the agent that all correspondence and bills should be address to AT&T in Bedminster, NJ. <b>Ack'd.YES</b> (App#20/3/000957, AT&T, LP#1998005545)		yes/yes	#1867 TI: \$150
✓	RP	036-0014		11/24/06	12/08/06	Prepare and file a response to Office Action (NF) due (App#10/387,542, David J. Simkins)		Ron's	Ron's
✓	TI	112694-Con		11/27/06	12/11/06	Prepre and pay issue fee and request 1 advance order copy of the patent (due 12/18/06). (App#10/902,304, R. Cox, LP#1998006292)		yes/yes	#1868 TI: \$350 PTO \$1400 Xcopy \$3
✓	TI	112694-Con-1		11/27/06	12/11/06	Prepare and file a continuation application at the same time with the issue fee payment (due 12/18/06). (App# R. Cox, LP#1998006292)		yes/yes	#1869 TI: \$2,000 PTO \$1000
✓	TI	111060-Japan		11/27/06	12/11/06	Fwd instruction to Okabe to file a response due 12/27/06. (#225443/1997, AT&T, LP#1998005198)	High S.	yes/yes	#1870 TI: \$1,500

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✓	TI	1999-0104-Con		11/28/06	12/12/06	Prepare and file a response to Office Action (NF) due <b>QUY: Please add preparation and filing of IDS to the invoice. Per TMI, we are only charging the PTO fee for the IDS.</b> (App#11/216,812, J. Johnston, LP#1999009831)	High S.	yes/yes	#1871 TI: \$2,000 PTO \$180
✓	TI	2004-0224-GB		11/29/06	12/13/06	Fwd instruction toM&C to pay fees and request examination by 3/27/07 due date. (#0606235.0, AT&T, LP#2004033044)		yes/yes	#1872 TI: \$150
✓	TI	2000-0572		11/30/06	12/14/06	Prepare and file a response to Office Action (NF) (App#09/966,259, R. Rose, LP#2000017225)	High S.	yes/yes	#1873 TI: \$2,000
✓	TI	2005-0405		12/06/06	12/06/06	Reviewing UBC agreement terms, drafting revised terms for joint ownership agreement of patent application; discussions with Tom Restaino regarding the same. (11/290.769, H. Jaquadish, #2005035176)		yes/yes	#1874 TI: \$1750 (7.0 hrs)
✓	TI	2000-0600C-Con		12/01/06	12/15/06	Prepare and pay issue fee (due 12/22/06). (App#11/276,556, J. Ostermann, LP#2000017528)		yes/yes	#1875 TI: 350 IFee \$1,400 Xcopy \$3
✓	TI	2000-0600C-Con-1		12/01/06	12/15/06	Prepare and file a continuation application if warranted by the disclosure (due 12/22/06). (App#____, J. Ostermann, LP#2000017528)		yes/yes	#1876 TI: \$2,000 PTO \$1,000
✓	TI	2006-A0570		12/01/06	12/16/06	Prepare and file a response to Notice of Missing Parts. All signed formal papers received. (App#11/535,146, M Beutnagel, LP#2006036396)		yes/yes	#1877 TI: \$400 PTO: \$130
✓	TI	2006-A0570		12/01/06	12/16/06	Prepare and file the Assignment. All signed formal papers received. (App#11/535,146, M Beutnagel, LP#2006036396)		yes/yes	#1878 TI:350 PTO \$40
✓	TI	2002-0050		12/16/06	12/16/06	12/7/06 Added to AT&T projected list for December: Revising specification and drawings, filing preliminary amendment in response to Patent Office Requirement. <b>Done by TI 12/8/06</b> (10/326.692, N. Gupta, #2002025102)		yes/yes	#1879 TI: \$1,000 DWG \$50
✓	TI	2003-0290 HK		12/04/06	12/18/06	Fwd instruction toM&C to pay fees and file Stage 2 application before 1/25/07 due date. (#06108500.0, AT&T, LP#2003030554)	Low Silver	yes/yes	#1880 TI: \$150
✓	TI	PALM-2932		12/04/06	12/18/06	Prepare and file a response to Office Action (NF) due		yes/yes	TI: 8hrs.
✓	TI	2002-0242-Japan		12/04/06	12/18/06	Fwd instruction toOkabe to request examination before 1/13/07 due date. (#2004-4943, AT&T, #2002026837)		yes/yes	#1881 TI: \$150

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✓	TI	111040-Japan		12/18/06	12/19/06	Forward instruction to agent to file an appeal. <b>NOA due 12/24/06 (not extensible). Ack'd YES</b> #171333/1997, AT&T, LP#1998005188	Low Silver	yes/yes	#1882 TI: \$150
✓	TI	2002-0242		12/05/06	12/19/06	Prepare and file a response to Office Action (NF) (app#10/341.205, C. Gilboy, #2002026837)	Low Silver	yes/yes	#1883 TI: \$2,000
✓	TI	PALM 004-0010-RE		09/14/06	12/19/06	Tracking down inventors; traveling to Rockville to meet with inventor on 12/14/06; telephone conference with examiner on November 7, 2006 and December 19, 2006; preparing and filing a new inventors Declaration.		yes/yes	TI: 10 hrs
✓	TI	2000-0600D		12/05/06	12/19/06	Prepare and file a response to Office Action (NF) due (App#10/003.092, J. Ostermann, LP#2000017528)	Low Silver	yes/yes	#1884 TI: \$2,000
✓	TI	010-0011A-PCT		12/20/06	12/20/06	Respond to International Search Report and Written Opinion due.		yes/yes	n/a
✓	TI	PALM-3693		12/06/06	12/20/06 (2X)	<b>For Billing:</b> Review and communicate with Palmsource regarding strategic and decision how to proceed: 2.5 hrs; prepare for and interview with examiner Beck on 12/20/06: 5 hrs; Reported 7.5 hours on 12/20/06 per Tom		rep.Yes	TI: 7.5 hrs
✓	TI	PALM-3693		12/06/06	12/20/06 (2X)	Prepare and file a response to FINAL Office Action due ( <b>3-month due date 10/20/06</b> ) with 2-month extension fee. Richard recommended to drop this case, have not heard back from Palm yet. 10/20/06. <b>For Billing:</b> Prepare and file the FOA: 8.8 hrs; prepare and file IDS: 1.50 hrs; <b>Report this in January 2007 per Tom</b>		yes/yes	TI: 10.50 hrs EOT: \$450 IDS: \$180
✓	TI	3798.Palm		08/30/06	12/20/06	See Advisory Action - it was sent after the 6 month date - I have a call in to the Examiner - RCE or file unintentional abandonment? Just got the Abandonment (dated 12/27/05) - file a Petition to Revive for unintentional abandonment and response (Actual due date 12/27/06) This file had a pending Divisional (3798.Palm-Div., S.N. 10/948,916) <b>Prepare and file a Petition to Revive and respond to Office Action (Actual due date 12/27/06)</b>		yes/yes	TI: 8.8hrs Revive \$1500 RCE \$790
✓	TI	2000-0499-Canada		12/13/06	12/20/06	Fwd instruction to agent to disclose the cited art on 2000-0499 Office Action dated 8/1/06 (# 2,422,768, AT&T, #2000014688)		yes/yes	#1885 TI: \$150

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✓	TI	PALM-3504		10/22/06	1/6/07 (3X)	Prepare a response to Office Action (NF) due/Recommended abandoning case - bill for 2.7 hrs to Rich. Report to Serenna for for Billing on 12/22/06: Review and communicate with Palmsource regarding strategic and decision how to proceed. 5 hrs: prepare for and interview with examiner Ewart on 12/21/06: 5 hrs:		rep. Yes	Rt: 2.70 hrs TI: 2.3 hrs TI: 5 hrs intrvw
✓	TI	PALM-3752		12/07/06	12/21/06	Prepare and file a response to Office Action (NF) due		yes/yes	TI: 8.8 hrs
✓	TI	2002-0141A		12/08/06	12/22/06	Prepare and file an Appeal per AT&T (Final Office Action dated 9/22/06) (app#10/326,689, S. Bangalore, #2002026117)	High S.	yes/yes	#1886 TI: \$700 PTO \$500
	RP	036-0017		12/08/06	12/22/06	Prepare and file a response to FINAL Office Action due (RCE). (App#10/670,605, Alfred R. Rundle)		Ron's	Ron's
✓	TI	2006-A0497		12/08/06	12/23/06	Prepare and file a response to Notice of Missing Parts. Formal papers received. (App#11/530,258, H. Schroeter, LP#2006036215)		yes/yes	#1887 TI: \$400 pto: \$130
✓	TI	2006-A0497		12/08/06	12/23/06	Prepare and file the Assignment. Formal papers received. (App#11/530,258, H. Schroeter, LP#2006036215)		yes/yes	#1888 TI: \$350 pto: \$40
✓	JS	023-0017		12/10/06	12/24/06 (2X)	Prepare and file a response to Office Action (NF) with 2-month extension fee.		Jay's	Jay's
✓	TI	2001-0332 Div		12/05/06	12/26/06	Prepare and pay issue fee and request 1 advance order copy of the patent (due 12/26/06) (app#10/465,873, G. Di Fabrizio, #2001022042)		yes/yes	#1889 TI: \$350 IF: \$1,400 Xcopy: \$3
✓	TI	2001-0332 Div-1		12/05/06	12/26/06	Prepare and file a continuation application if warranted by the disclosure (due 12/26/06). (11/616,145, G. Di Fabrizio, #2001022042)		yes/yes	#1890 TI: \$2,000 pto: \$1,000 2x clms \$100
✓	TI	2002-0070		12/12/06	12/26/06	Prepare and file a response to Office Action (NF) (app#10/341,869, A. Conkie, #2002025306)	High S.	yes/yes	#1891 TI: \$2,000
✓	TI	2000-0025		12/07/06	12/26/06	Prepare and pay issue fee (due 12/28/06) (App#09/495,175, A. Benitez, LP#2000011539)		yes/yes	#1892 TI: \$350 IF: \$1,400 Xcopy \$3



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✓	TI	2000-0025-Con-1		12/07/06	12/26/06	Prepare and file a continuation application with issue fee ( <b>due 12/28/06</b> ) (note: 2000-0025Con filed 4/4/06) (11/616,315, A. Benitez, LP#2000011539)		yes/yes	#1893 TI: \$2,000 PTO: \$1,000 5Xclms \$250
✓	TI	PALM-3615		12/06/06	12/27/06	12/27/06: Forwarded instruction to Serenna regarding Tom's approval of the Supplemental Amendment. Serenna will handle the other administrative details. (Tom called the examiner twice on 12/6/06)		yes/yes	TI: 20 mins
✓	TI	2000-0452		12/22/06	12/29/06	Prepare and file a response to AA , RCE filed 12/29/06 (App#09/882,036, Baldine-Brunel Paul, LP#2000014374)	High S.	yes/yes	#1894 TI: \$2,000 pto: \$790
✓	TI	2000-0606 Con-1		12/27/06	12/29/06	Prepare and file a continuation application per Tom (App# 11/617,834, Bishnu Atai, LP#2000017632)		yes/yes	#1895 TI: \$2,000 pto: \$1,000
✓	TI	112180-EPO		12/27/06	12/27/06	Please review agent's email and letter dated 10/25/06 regarding divisional application per TMI. (App#98102130.6, AT&T, LP#1998005788)		yes/yes	#1896 TI: \$250
✓	TI	2004-0275-EPO		12/14/06	12/28/06	Fwd instruction to M&C to pay fees and request examination by the May 15, 2007 due date. Also, instruct agent to record this appl. in Hong Kong. (#06113673.5, AT&T, #2004033240)		yes/yes	#1897 TI: \$150
✓	TI	2004-0275		12/14/06	12/28/06	Prepare and file IDS cited references in the EPO Search Report dated 7/6/06. ( #2004033240)		yes/yes	#1898 TI: \$350 PTO: \$180
✓	TI	2004-0275-Canada		12/14/06	12/28/06	Prepare and forward instruction to agent to disclose cited references in the EPO Search Report dated 7/6/06. ( #2004033240)		yes/yes	#1899 TI: \$150
✓	TI	2004-0026-EPO		11/16/06	12/29/06	Please forward instruction to our agent to proceed with examination and file a response due 1/19/2007 <b>Billing: Study prior art to determine if we need to respond to the Search Report dated 6/21/06.</b> (Appl#05101425.6, AT&T, LP#2004031461)	High S.	yes/yes	#1900 TI: \$1,000
✓	TI	1999-0679-CIP		12/29/06	12/29/06	Prepare and file IDS (09/956,907, A. Gorin et al. # 1999010962)		yes/yes	#1901 TI: \$350 pto \$180
✓	TI	1999-0679-CIP-3		12/29/06	12/29/06	Prepare and file IDS (10/402,941, A. Gorin et al. # 1999010962)		yes/yes	#1902 TI: \$350

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✓	TI	1999-0679-CIP-4		12/29/06	12/29/06	Prepare and file IDS (10/402,976, A. Gorin et al. # 1999010962)		yes/yes	#1903 TI: \$350 pto \$180
✓	TI	1999-0679-CIP-1- Div (AT&T#1999- 0679Div)		12/29/06	12/29/06	Prepare and file IDS (11/420,738, A. Gorin et al. # 1999010962)		yes/yes	#1904 TI: \$350
✓	TI	112041-EPO-Div		12/01/06	12/29/06	Waiting confirmation from AT&T to: Fwd instruction to M&C to record the application in Hong Kong and pay the required fees. See Tom's email on 11/14/06. <b>No instruction for Hong Kong per Lauren 11/14/06, see email on file.</b> (#06111526.7 AT&T LP#1098005651)		n/a	n/a
✓	TI	010-0010-T		11/16/06	12/29/06	Prepare and file a response to Office Action received on 6/30/06 (Actual due date 12/30/06)		yes/	n/c
✓	TI	PALM-4006		11/10/06	01/10/07	Converting Provisional (Provisional application filed 2/10/06, Actual due date 2/10/07) <b>Another company will take care of this per TI 1/9/07</b>		n/a	n/a
✓	TI	PALM-4006		11/10/06	01/10/07	Foreign Filing? (Provisional application filed 2/10/06, Actual due date 2/10/07) <b>Another company will take care of this per TI 1/9/07</b>		n/a	n/a
✓	TI	PALM-4007		11/10/06	01/10/07	Converting Provisional (Provisional application filed 2/10/06, Actual due date 2/10/07) <b>Another company will take care of this per TI 1/9/07</b>		n/a	n/a
✓	TI	PALM-4007		11/10/06	01/10/07	Foreign Filing? (Provisional application filed 2/10/06, Actual due date 2/10/07) <b>Another company will take care of this per TI 1/9/07</b>		n/a	n/a
	RP	036-0017		02/22/07	02/22/07	Did we get an Office Action - 5-month call-up (FOA dtd 9/22/06)		Ron's	Ron's